

Enrollment System Overview

Enrollment System Overview for New Plan Users
September 2006



Purpose

To provide new Plan users with an overview of MARx system processing, reporting, and online capability

Objective

After completing this information overview, new Plan users should be able to demonstrate a basic level of understanding of MARx system processing, User Interface (UI) functionality, and reporting as it is outlined in this overview

Overview Topics

- Section 1 – MARx Transaction Processing
 - Introduction
 - MARx Transaction Files Overview
 - Submitting Transaction Files
 - Election Period Types and Limits
 - MARx Processing Cycle
 - Transaction File Status Reporting
 - Retrieving Transaction File Status – MARx Reports Overview
- Section 2 – MARx Reports
 - Reports and Data Files – How are They Different?
 - Transmissions Upon Batch Submission
 - Transmissions Upon Batch Processing
 - Daily, Weekly, and Monthly Transmissions

Overview Topics (continued)

- Section 3 – Interpreting Transaction Reply Codes
 - What is a Transaction Reply Code (TRC)?
 - TRC Types
 - Understanding TRCs
- Section 4 – MARx User Interface (UI)
 - Logging On and Viewing Messages
 - Viewing Beneficiary Information
 - Viewing Payment and Premium Information
 - Requesting Historical Reports

Section 1 – MARx Transaction Processing

- Introduction
- MARx Transaction Files Overview
- Submitting Transaction Files
- Submitting Retroactive Transaction Files
- Election Period Types
- Election Period Limits
- MARx Processing Cycle
- Transaction File Status Reporting
- Retrieving Transaction File Status – MARx Reports Overview

Introduction

- Medicare Advantage Prescription Drug (MARx) calculates payments to Plans for providing coverage to Medicare beneficiaries who are enrolled in its contracts
 - Coverage is principally for Part C and/or Part D of Medicare
 - Part C contracts are Medicare Advantage Plans that provide Part A and B benefits, and may also provide other benefits
 - Part D contracts provide drug insurance for beneficiaries enrolled in either managed care or fee-for-service for their Parts A and/or B benefits
 - A contract may offer both Parts C and D
 - A contract may offer several Plan Benefit Packages (PBPs) with different levels of coverage
 - Plans may also be further divided into segments

Introduction (continued)

- Beneficiaries may need to pay premiums for their benefits for Parts C and/or D
 - Premium payment depends on:
 - Premium charged by the Plan
 - This is based upon the level of coverage provided and negotiated with CMS
 - Beneficiary's income level
 - CMS subsidizes premiums for beneficiaries with low income (Low Income Subsidy - LIS)
 - Late enrollment penalty (LEP)
 - If beneficiary did not enroll in a Part D contract when first entitled and had no other creditable drug insurance coverage

Introduction (continued)

- Plan interactions with MARx fall into these categories:
 - Exchange of files
 - Plans submit transaction files to MARx in batch
 - Plans receive reports and data files that provide details concerning MARx transaction processing status
 - Online access through a Web-based user interface
 - Plans query MARx via an entry point called the CMS Applications Portal, or the MA/Part D Online Inquiry System
 - Allows Plans to view enrollment, payment and premium information from MARx and beneficiary information from the Medicare Beneficiary Database (MBD)

Introduction (continued)

- Plan roles and privileges in MARx
 - Representative
 - Individual who works for a Plan managing beneficiaries in the Medicare program via MARx
 - May access data for their own membership (contracts) only
 - Cannot submit batch file transactions containing membership changes and health status corrections
 - May query and view enrollment, payment and premium information from MARx, and beneficiary information from the MBD via the CMS Application Portal, or the CUI
 - Representative Transmitters
 - Individual has the same capabilities as the Representative role
 - Additional ability to submit and transmit batch file transactions containing membership changes and health status corrections

MARx Transaction Files Overview

- What is a transaction?
 - An action that affects a MARx beneficiary record
- Transaction types
 - Enrollments
 - Transaction codes used: 60, 61 (Note: 60 used for Retro EGHP)
 - Beneficiary enrollment into a Plan's contract
 - Auto-enrollments and facilitated enrollments submitted by the government for low-income beneficiaries
 - Disenrollments
 - Transaction codes used: 51, 54 (Note: 54 is submitted by 1-800 MEDICARE)
 - Termination of beneficiary enrollment
 - Auto-disenrollments when a beneficiary is enrolled into a new contract
 - Auto-disenrollments may also occur when date of death notifications, loss of entitlement notifications, etc. are received

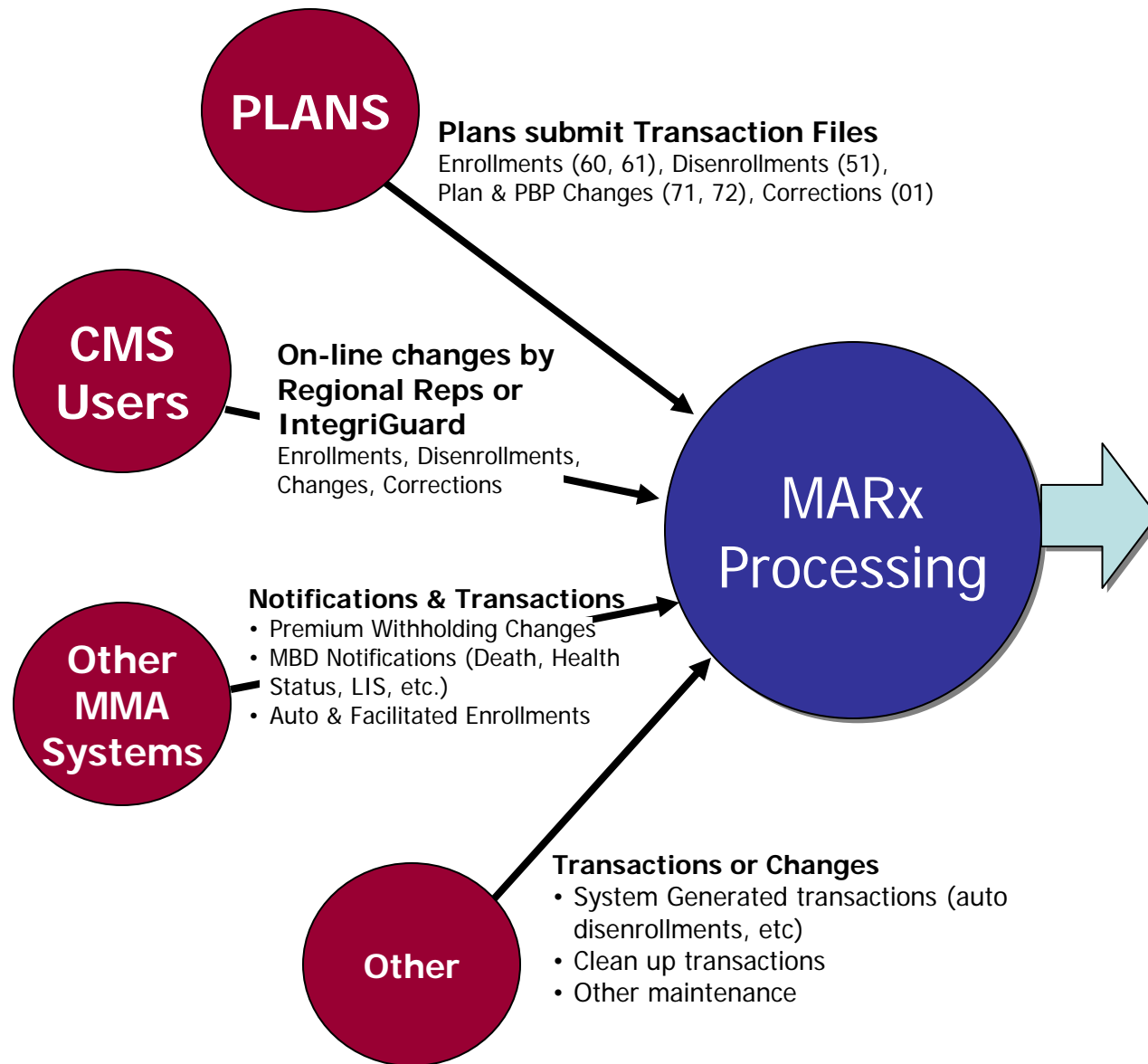
MARx Transaction Files Overview (continued)

- Transaction types (continued)
 - Change Transactions
 - Transaction codes used: 71, 72 (Note: 71, PBP Change, is considered enrollment for election type limits)
 - Change transactions can be for PBP change (71), or Plan changes (72)
 - Plan changes can be for segment change, premium change, Employer Group Health Plan (EGHP) change, etc.
 - Corrections
 - Transaction code used: 01
 - Transactions that change a beneficiaries health status
 - Turning on: Institutional and Nursing Home Certifiable
 - Turning on and off: Medicaid

MARx Transaction Files Overview (continued)

- Transaction submissions not initiated by Plans
 - Transactions submitted by PWS and 1-800-MEDICARE
 - Notifications
 - Affect the beneficiary's eligibility, health status, etc.
 - Reported on the Plan's TRR and other reports
- What is a batch transaction file?
 - A collection of many transactions (may include all transaction types) submitted to MARx in one file

Various Ways Data is Submitted to MARx



Transaction Replies Include

- **Replies to Plan submitted transactions**
 - Accepted
 - Rejected
- **Replies to other transactions**
 - Auto / Facilitated Enrollments
 - System generated disenrollments
 - Clean-up or maintenance transactions
- **Replies giving information (from notifications)**
 - Date of death
 - Premium Withhold Change
 - LIS change
 - Etc.

Submitting Transaction Files

- Formatting for batch transaction submissions
 - MARx performs basic file validation and transaction formatting edits on all transactions before processing may occur
 - Verifies that the following are correct:
 - Transaction file headers
 - » The date in the header must represent the payment month for the file. (ex. Files submitted between June 13 & July 13 are for the payment month of August – 082006)
 - » Headers for retro transactions must have RETRO at positions 14 – 18, and must be dated for the payment month **originally intended**
 - User authentication
 - Transaction format
 - Data types
 - If a transaction file fails these basic edits, the file receives a failed processing status & no file transactions are processed
 - Plans are notified of failed files

Batch Transaction Header Format for CPM Transactions

Item	Field	Position	Description	Plan users enter
1	Header Message	1 – 12	AAAAAAHEADER	<ul style="list-style-type: none"> •CPM Transactions: <ul style="list-style-type: none"> –Positions 1 – 6 = AAAAAA –Positions 7 – 12 = HEADER
2	Filler	13 – 33	Spaces	<ul style="list-style-type: none"> •CPM Transactions: <ul style="list-style-type: none"> –Positions 13 – 33 = Spaces
3	Payment Month	34 – 39	MMYYYY	<ul style="list-style-type: none"> •CPM Transactions: <ul style="list-style-type: none"> –Position 34 – 39 = MMYYYY (1 month <i>after</i> the date of processing) (Example: Transactions processed within the CPM of January must be dated 022006)
4	Filler	40 – 224	Spaces	<ul style="list-style-type: none"> •CPM Transactions: <ul style="list-style-type: none"> –Positions: 40 – 224 = Spaces

Submitting Retroactive Transaction Files

- Retroactive transaction files
 - Transactions that have effective dates that are prior to the current month's processing rules are considered retroactive ("retro") transactions and require special handling
 - Not a normal part of the process - these transactions have been previously submitted, and due to problems with prior submission, require resubmitting
 - Failed transactions
 - Rejected transactions
- Submitting retro transaction files
 - Contact CMS Central Office representative for submission approval
 - Submit using the appropriate header formatting
 - Processing occurs after retro files are approved for processing by CMS
 - Files should consist of multiple transactions (not just one)

Batch Transaction Header Format for Retroactive Transactions

Item	Field	Position	Description	Plan users enter
1	Header Message	1 – 12	AAAAAAHEADER	<ul style="list-style-type: none"> •Retroactive Transactions: <ul style="list-style-type: none"> –Positions 1 – 6 = AAAAAA –Positions 7 – 12 = HEADER
2	Filler	13 – 33	Spaces	<ul style="list-style-type: none"> •Retroactive Transactions: <ul style="list-style-type: none"> –Position 13 = Space –Positions 14 – 18 = RETRO –Position 19 – 33 = Spaces
3	Payment Month	34 – 39	MMYYYY	<ul style="list-style-type: none"> •Retroactive Transactions: <ul style="list-style-type: none"> –Position 34 – 39 = MMYYYY (MMYYYY for the month payment is intended)
4	Filler	40 – 224	Spaces	<ul style="list-style-type: none"> •Retroactive Transactions: <ul style="list-style-type: none"> –Positions: 40 – 224 = Spaces

Election Period Types

- Election period types and limits provide a means of regulating beneficiary access to Plans
 - The various available election period types include:
 - Initial Coverage Election Period – ICEP (I)
 - Initial Enrollment Period for Part D – IEP (E)
 - Open Enrollment Period - OEP (O)
 - Open Enrollment Period for Institutionalized Individuals – OEPI (T)
 - Open Enrollment Period for Newly Eligible Individuals – OEPNEW (N)
 - Annual Election Period – AEP (A)
 - Special Election Period – SEP (S)

Election Period Types (Continued)

- Initial Coverage Election Period – ICEP (I)
 - Election period for a person who is just becoming eligible for Medicare
 - 7 month period around the member's date of entitlement to both Part A and Part B
 - 1 (One) per LIFE
 - Beneficiary may elect an MA, MA-PD, SHMO I or SHMO II Plan

Election Period Types (Continued)

- Initial Enrollment Period for Part D – IEP (E)
 - Enrollment Period for the start of the Part D program
 - 7 month period around the member's entitlement date
 - 3 months before entitlement
 - During the month of entitlement
 - 3 months after the month of entitlement
 - Applies to MA-PD, PDP or Cost Plan with Drug
 - NOT FOR MA Only
 - One (1) per LIFE

Election Period Types (continued)

- Open Enrollment Period - OEP (O)
 - Jan – March (1st 3 months of the year)
 - For 2006 – 1st 6 months of 2006
 - One (1) per YEAR
 - MA, MA-PD, SHMO I, SHMO II
 - Medicare prescription drug coverage cannot be added or dropped during this period

Election Period Types (continued)

- Open Enrollment Period for Institutionalized Individuals – OEPI (T)
 - Starts the month the beneficiary is institutionalized
 - Institutionalized are those beneficiaries who move into, reside in or move out of a SNF, NF, ICF/MR, psychiatric, rehabilitation, long-term care hospital or unit or swing-bed hospital
 - Ends 2 months after the beneficiary moves out of the institution
 - No limit on the number of elections
 - MA, MA-PD, SHMO I, SHMO II
 - Medicare prescription drug coverage cannot be added or dropped during this period

Election Period Types (continued)

- Open Enrollment Period for Newly Eligible Individuals – OEPNEW (N)
 - For 2006, first 6 months of Part A/B entitlement before December 31st
 - For 2007, begins the month the beneficiary is entitled to both Part A and Part B and ends the earlier of the last day of the 3rd month of entitlement, or on December 31, 2006
 - One (1) per LIFE
 - MA, MA-PD, SHMO I, SHMO II
 - Medicare prescription drug coverage cannot be added or dropped during this period
 - An MA organization is not required to accept elections into its plan during the OEPNEW, but if open for these elections, must accept all OEPNEW elections into the plan

Election Period Types (continued)

- Annual Election Period – AEP (A)
 - November 15 – December 31 each year
 - One (1) per AEP
 - MA, MA-PD, PDP, SHMO I, SHMO II, Cost with Part D

Election Period Types (continued)

- Special Election Period – SEP (S)
 - Anytime
 - No limit
 - Used for special circumstances
 - All Plan types
 - Use for enrollment in Plans where no election type is required

Election Period Types (continued)

- CMS will validate timeframes for election period types received on enrollment and disenrollment transactions as follows:
 - Enrollments,
 - Validation will check that the *application date* on the transaction lies within the valid timeframe for the election period type
 - Disenrollments,
 - Validation will check that the *disenrollment effective date* on the transaction lies within the valid timeframe for the election period type

Election Period Limits

- What counts as an election?
 - An enrollment
 - Transactions 60, 61
 - A disenrollment
 - Transactions 51, 54
 - PBP Change
 - Transaction 71
- The following slides provide exceptions to this rule

Election Period Limits (continued)

- Canceling an enrollment
 - Enrollment Transaction with effective date
 - Disenrollment transaction must:
 - Have same effective date as enrollment
 - Be submitted in a file with a header date that is before the enrollment effective date
 - Cancellation doesn't count as an election
- Continuous enrollment
 - Plan A submits disenrollment for specific disenrollment effective date
 - Plan B submits enrollment transaction for the same effective date as disenrollment effective date of Plan A
 - These two (disenrollment from Plan A and enrollment in Plan B) count as one (1) election

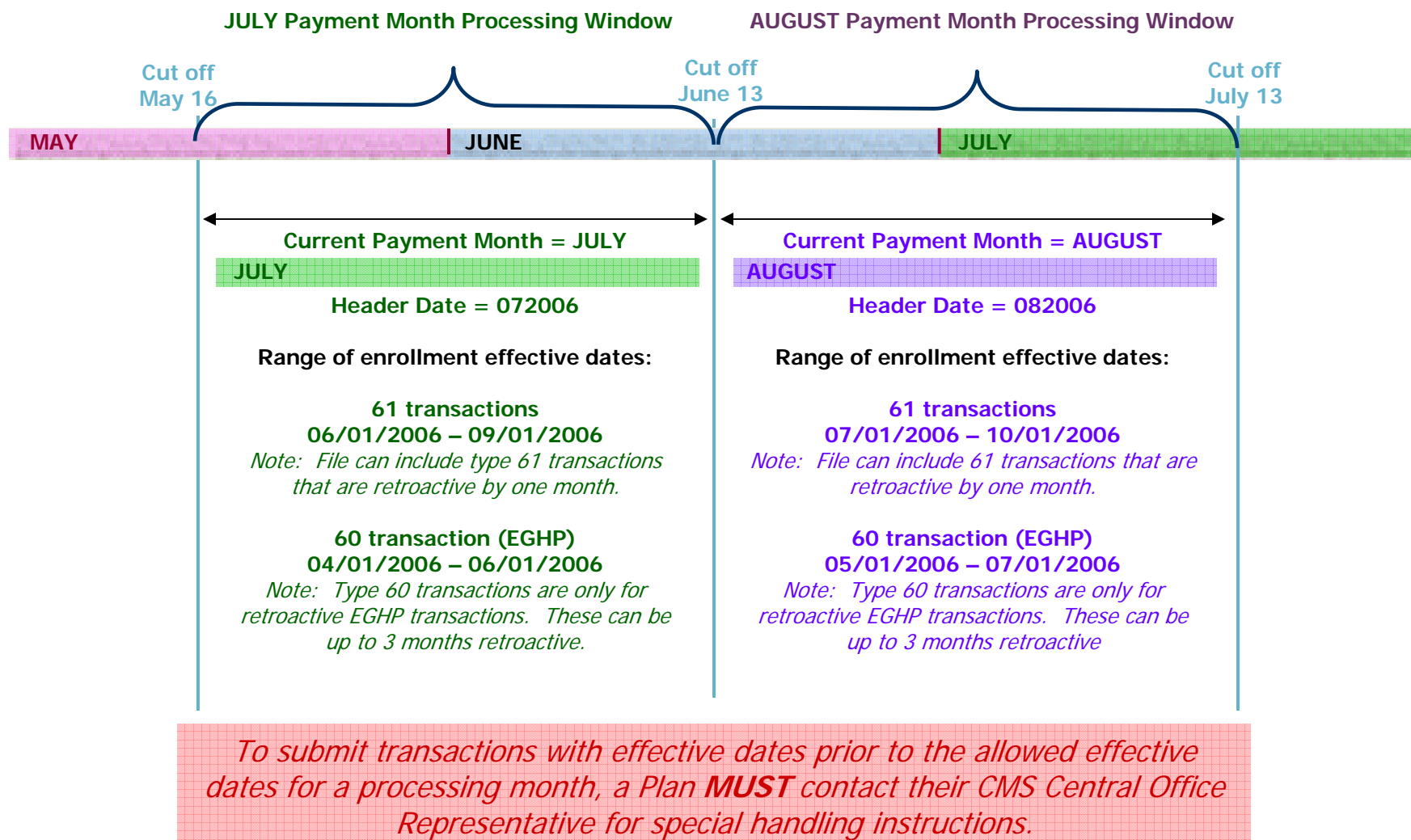
Election Period Limits (continued)

- Disenrollment as election
 - Beneficiary is enrolled in Plan A (1 election)
 - Beneficiary disenrolls from Plan A without immediately joining another Plan (1 election)
 - Beneficiary joins Plan B a month after the disenrollment (1 election)
- Another example
 - Beneficiary enrolls in Plan A (1 election)
 - Beneficiary enrolls in Plan B and is automatically disenrolled from Plan A (1 election)
- Another example
 - Beneficiary enrolls in Plan A (1 election)
 - Beneficiary's enrollment is cancelled prior to effective date (-1 election)
 - Beneficiary enrolls in Plan B (1 election)

MARx Processing Cycle

- MARx transaction processing cycle
 - MARx operates on a monthly processing cycle
 - During a MARx “processing window” transactions are submitted for a specific payment month
 - Called the Current Payment Month (CPM)
 - Each “processing window” has a “cutoff” date, after which transaction files are submitted for the next payment month
 - Transactions are processed as they enter the system, and payments are calculated immediately, until the cutoff date
 - In the month-end cycle, MARx calculates the final summary payments and adjustments. These become payments to the Plans
 - Reports for this processing month (cutoff to cutoff) are produced and pushed to Plans
 - Plans are encouraged to submit transaction files daily, throughout the month
 - Alleviates backlog of requests that could occur at the monthly cutoff date

Current Payment Month (CPM) – Example



2006 Processing Months

All transactions received after the previous cut-off date and before 6:00 PM EST on the current month's cut-off date will be included in the monthly processing

<i>Payment Month</i>	<i>Cut-off Date</i>
<i>August 2006</i>	<i>7/13/2006</i>
<i>September 2006</i>	<i>8/16/2006</i>
<i>October 2006</i>	<i>9/14/2006</i>
<i>November 2006</i>	<i>10/17/2006</i>
<i>December 2006</i>	<i>11/8/2006</i>
<i>January 2007</i>	<i>12/8/2006</i>

MARx Processing Cycle (continued)

- Daily Processing
 - Plans transmit beneficiary transactions to the CMS Data Center
 - Plans verify MARx processing of data via various report files returned after processing the transaction file
 - Throughout the month, MARx processes transactions as they are received and updates individual beneficiary records
 - Throughout the month, MARx computes beneficiary-level payments and premiums based on updated transaction data, and summarizes them at the contract level
 - Summary includes any applicable adjustments, subsidies, and penalties
 - MARx provides Plans with Weekly TRRs that summarize the disposition of transactions received for the week in its contracts
 - Plans are encouraged to submit transaction files daily, throughout the month
 - Alleviates backlog of requests that could occur at the monthly cutoff date

MARx Processing Cycle (continued)

- Monthly
 - MARx creates contract payment validation reports
 - CMS reviews reports and authorizes contract payments for the month
 - Automated Plan Payment System (APPS) transmits Plan payment data to the CMS financial control system
 - A file is transmitted to the U.S. Treasury, where funds are electronically dispersed to Plan banking institutions

Transaction File Status Reporting

- Batch transaction file processing status
 - A transaction submitted to MARx has one of three statuses: failed, rejected or accepted
 - Accepted
 - Accepted transactions are successfully processed by MARx
 - If a transaction is accepted, MARx immediately calculates payment (and/or adjustments) and adds or subtracts the net dollar amount to the totals for the contract for each month
 - » Totals are dynamic and may change each time a transaction is processed, until monthly payment operations are concluded and final
 - Reported on the Batch Completion Status Summary Report that is transmitted to the Plan transmitter (submitter) for review and on the Weekly & Monthly TRRs

Transaction File Status Reporting (continued)

- Batch transaction file processing status
 - Failed and rejected transactions will need to be corrected and resubmitted to MARx for processing
 - Failed
 - A failure results when incoming data is not consistent with the database rules
 - The transaction is written to the Failed Transaction Data File and transmitted to the Plan transmitter (submitter) for review
 - Rejected
 - Rejected transactions are loaded into MARx, but encounter an error condition during processing
 - Reported on the Batch Completion Status Summary Report that is transmitted to the Plan transmitter (submitter) for review and on the Weekly & Monthly TRRs

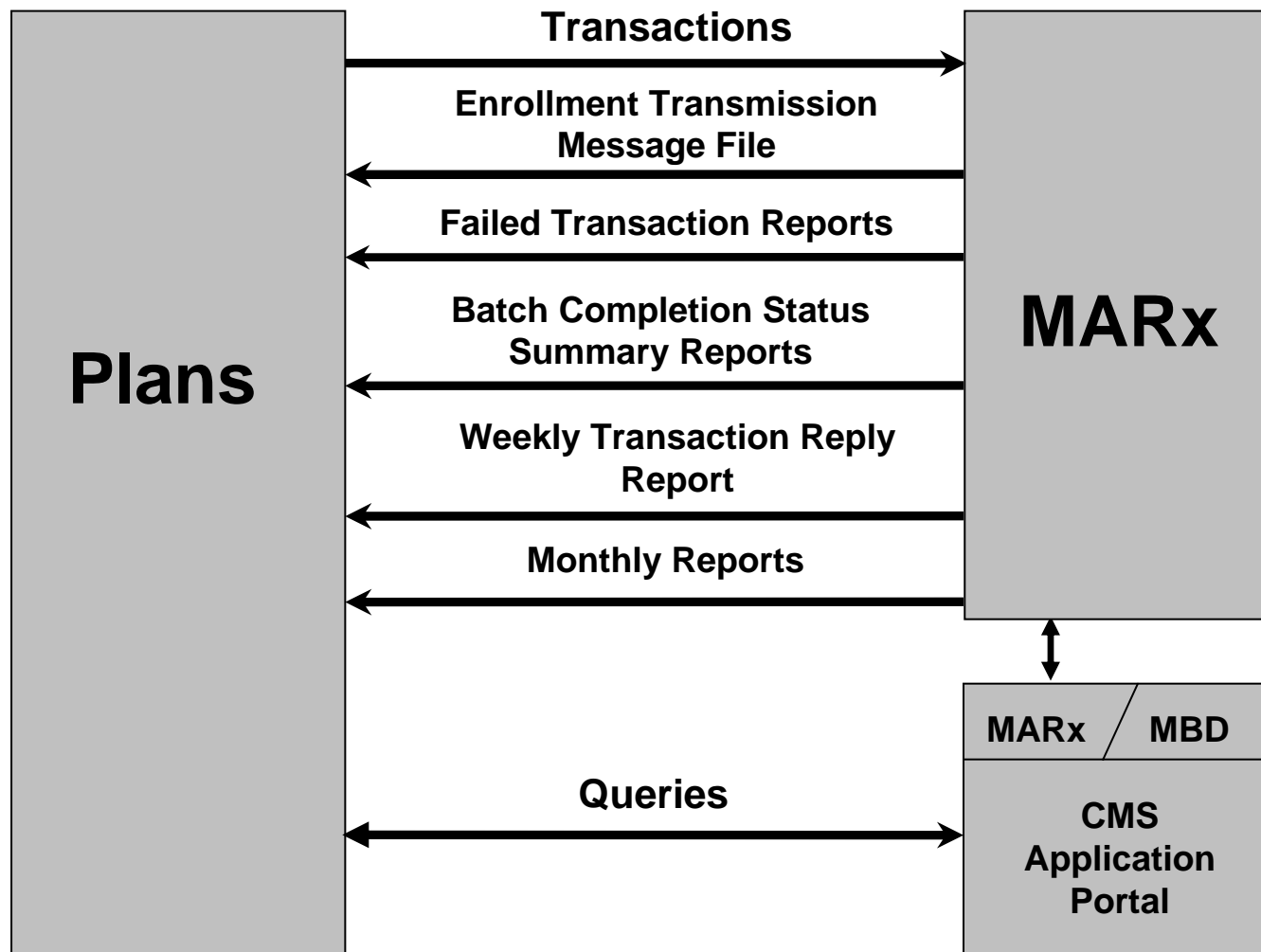
Retrieving Transaction File Status – MARx Reports

- MARx communicates the disposition of a transaction through a variety of reports that are transmitted to Plan users
 - Transmitted to Plan transmitters (submitters) upon batch submission
 - Failed Transaction Data File
 - Includes the original transaction and the failure error code
 - Enrollment Transmission Message File
 - Summary providing counts of transactions by type
 - Plans should use this file to monitor the successful (or unsuccessful) receipt of their batch transaction files
 - Transmitted to Plan transmitters (submitters) upon completion of processing a batch
 - Batch Completion Status Summary Report
 - Provides a count of all transactions within the batch, detailing the number of failed, rejected and accepted transactions
 - Provides an image of the rejected and accepted transactions

Retrieving Transaction File Status – MARx Reports

- Weekly and monthly reports and data files
 - Weekly/Monthly Transaction Reply Reports (TRR)
 - Weekly TRR provides a disposition of transactions submitted for a contract each week except the week when month-end occurs
 - Monthly TRR provides Transaction Replies for all transactions received for the CPM
 - Monthly Reports
 - Monthly reports include enrollment and payment reports that reflect the status at the completion of the monthly process
 - These reports provide a final disposition of all transactions that the Plan has transmitted for the processing month
- In addition to reports, MARx generates data files so that Plans may easily import information into their own systems if desired

MARx Data Flow



Section 2 – MARx Reports



Section 2 – MARx Reports

- Reports and Data Files – How are They Different?
- Transmissions Upon Batch Submission
- Transmissions Upon Batch Processing
- Daily Transmissions
- Weekly Transmissions
- Monthly Transmissions

MARx Reports

- Reports and data files – How are they different?
 - Reports are delivered in report format and a data file for further processing by the Plan
 - Report
 - ASCII file formatted with headings, special groupings, subtotals, totals, etc.
 - Data files
 - Formatted for input to automated parsing software
 - Plans may easily import information into their own systems, if desired
- Report Categories
 - Transmissions Upon Batch Submission
 - Transmissions Upon Batch Processing
 - Daily
 - Weekly
 - Monthly

Transmissions Upon Batch Submission

- Failed Transaction Data File
 - Data File
 - Report detailing transactions that cannot be loaded into MARx for processing due to formatting errors
 - This report is sent to the user who submitted the batch
- Enrollment Transmission Message File
 - Report
 - Summary of the batch enrollment transaction file providing counts of transactions by type
 - Contain a unique Batch ID that can be used to associate submissions to the Batch Completion Status Summary
 - Plans should use this file to monitor the successful (or unsuccessful) receipt of their batch transaction files
 - This report is sent to the user who submitted the batch

Transmissions Upon Batch Processing

- Batch Completion Status Summary Data File
 - Data file
 - Provides a count of all transactions within the batch
 - Details the number of rejected and accepted transactions
 - Provides an image of the rejected and accepted transactions
 - Provides a Transaction Reply Code for each transaction indicating the disposition of the transaction
 - This data file is sent to the submitter once a batch of submitted transactions have been processed

Daily Transmissions

- Coordination of Benefits (Validated Other Insurer Information)
 - Data file
 - File containing members' primary and secondary coverage that has been validated through COB processing
 - This report is produced by MBD
 - MARx forwards this report whenever a Plan's enrollees are affected
 - As this data becomes available (can be daily)
 - Enrollees included on the report are those newly enrolled who have known Other Health Insurance (OHI) and those Plan enrollees with changes to their OHI

Weekly Transmissions

- Transaction Reply Weekly Activity Report (Weekly TRR)
 - Report
 - Lists all of the transactions that MARx processed for a Plan in the week regardless of source, and gives a disposition code for each transaction
- Transaction Reply Weekly Activity Data File
 - Data file version of the Transaction Reply Weekly Activity Report
- Special Transaction Reply Weekly Activity Data File (Special TRR)
 - Special TRRs are generated as a result of clean up activities for Plans that are affected
 - There is no associated report to accompany this data file
 - TRC199 and TRC 999 that show on this data file will not ordinarily be reflected on the regular weekly and monthly TRRs

Weekly Transmissions

- Low-Income Subsidy/Part D Premium Data File (LISPRMD)
 - Data file
 - The data in the report reflects LIS info, premium subsidy levels, Low-income co-pay levels, etc. for all beneficiaries who have a low-income designation enrolled in a Plan.
 - Produced Bi-Weekly
 - Pushed to a Plan when requested from the MARx UI

Monthly Transmissions

- Transaction Reply/Monthly Activity Report (Monthly TRR)
 - Report
 - Lists all of the transactions that MARx processed for a Plan in the CPM (cutoff to cutoff), regardless of source, and gives a disposition code for each transaction
- Transaction Reply/Monthly Activity Data File
 - Data file
 - Data file version of the Transaction Reply/Monthly Activity Report

October CPM: August 17 – September 14

The calendar below for August and September shows the beginning and end of the October CPM. It also shows the days included in the weekly and monthly TRRs for the October CPM.

	Sun	Mon	Tue	Wed	Thu	Fri	Sat	
August	13	14	15	16 September CPM cut off	17 October CPM begins	18	19 Weekly TRR for 8/12-16 (Sept. CPM)	Weekly TRR on 8/26 includes days from previous week.
	File submittals for CPM = October (week 1)							
	20	21	22	23	24	25	26 Weekly TRR for 8/17-25 (week 1)	
	File submittals for CPM = October (week 1)						(week 2)	
September	27	28	29	30	31	1	2 Weekly TRR for 8/26 – 9/1 (week 2)	
	File submittals for CPM = October (week 2)						(week 3)	
	3	4	5	6	7	8	9 Weekly TRR for 9/2 – 9/8 (week 3)	
	File submittals for CPM = October (week 3)						(week 4)	
	10	11	12	13	14 October CPM cut off	15	16 Weekly TRR for 9/9 – 9/14 (week 4)	Weekly TRR for cut-off week includes only through 9/14 (October CPM).
	File submittals for CPM = October (week 4)							
	17	18	19	20	21	22	23	
	24	25	26	27	28	29	30	
		Monthly TRR For October		October CPM Monthly TRR includes all transactions from Weekly TRRs 1 – 4.				

Monthly Transmissions (continued)

- Part C Monthly Membership Detail Report
 - Report
 - Also known as: Monthly Membership Report (MMR)
 - Report listing every Part C Medicare member of the contract and providing details about the payments and adjustments made for each
- Part D Monthly Membership Detail Report
 - Report
 - Also known as: Monthly Membership Report (MMR)
 - Report listing every Part D Medicare member of the contract and providing details about the payments and adjustments made for each

Monthly Transmissions (continued)

- Monthly Membership Detail Data File
 - Data file version of the Monthly Membership Detail Reports
 - This file contains the data for both Part C and Part D members
- Monthly Membership Summary Report
 - Report
 - Summarizes payments to a Plan for the month, in several categories, and adjustments, by all adjustment categories
 - This report contains data for both Part C and Part D members
- Monthly Membership Summary Data File
 - Data file version of the Monthly Membership Summary Report for both Part C and Part D members

Monthly Transmissions (continued)

- RAS RxHCC Model Output Report
 - Report (.pdf)
 - Report showing the Part D risk adjustment factors for each beneficiary
 - Also known as the Part D Risk Adjustment Model Output Report
 - This report is produced by Risk Adjuster System (RAS)
 - MARx forwards this report to Plans as part of the month-end processing
- RAS RxHCC Model Output Data File
 - Data file version of the RAS RxHCC Model Output Report
 - Also known as the Part D Risk Adjustment Model Output Data File
 - This report is produced by RAS
 - MARx forwards this report to Plans as part of the month-end processing

Monthly Transmissions (continued)

- Auto and Facilitated Enrollment Address Data File
 - Data file
 - Contains addresses for beneficiaries who are facilitated or auto-enrolled each month
 - Plans receive the information on these enrollments through their Transaction Reply Reports
- Part C Risk Adjustment Model Output Report
 - Report
 - Shows Hierarchical Condition Codes (HCCs) used by RAS to calculate Part C risk adjustment factors for each beneficiary
 - This report is produced by RAS
 - MARx forwards this report to Plans as part of the month-end processing
- Part C Risk Adjustment Model Output Data File
 - Data file version of the Risk Adjustment Model Output Report
 - This report is produced by RAS

Monthly Transmissions (continued)

- Benefits Improvement & Protection Act of 2000 (BIPA) 606 Payment Reduction Report
 - Report
 - Lists members for whom the Plan is paying a portion of the Part B premium
 - Generated only if there are pre-2006 adjustments that involve BIPA 606 premium reductions
 - Monthly, if applicable
- BIPA 606 Payment Reduction Data File
 - Data file version of the BIPA 606 Reduction Report
 - Monthly, if applicable

Monthly Transmissions (continued)

- Bonus Payment Report
 - Report
 - Lists members for whom the Plan is to be paid a bonus. (Plans are paid a bonus for extending services to beneficiaries in some underserved areas.)
 - Generated only if there are pre-2006 adjustments that involve bonus payments
 - Monthly, if applicable
- Bonus Payment Data File
 - Data file version of the Bonus Payment Report
 - Monthly, if applicable

Monthly Transmissions (continued)

- Demographic Report
 - Report
 - Summary, by state and county, of the membership of the Plan
 - Members are counted in categories that parallel the factors used in calculating the demographic payment, as well as ESRD and hospice status
- Monthly Summary of Bills Report
 - Report
 - Summarizes all Medicare fee-for-service activity, both Part A and Part B, for beneficiaries enrolled in the contract
- HMO Bill Itemization Report
 - Report
 - Lists the Part A bills that were processed under Medicare fee-for-service for beneficiaries enrolled in the contract

Monthly Transmissions (continued)

- Part B Claims Data File
 - Data file
 - Lists the Part B physician and supplier claims and Part B home health claims that were processed under Medicare fee-for-service for beneficiaries enrolled in the contract
- Payment Records Report
 - Report
 - Lists the Part B physician and supplier claims that were processed under Medicare fee-for-service for beneficiaries enrolled in the contract

Monthly Transmissions (continued)

- Monthly Premium Withholding Report Data File (MPWR)
 - Data File
 - Monthly reconciliation file of premiums withheld from SSA, RRB, or OPM checks
 - Includes Part C and Part D premiums and any Part D Late Enrollment Penalties
 - This report is produced by Premium Withhold System (PWS)
 - MARx makes this report available to Plans as part of the month-end processing

Monthly Transmissions (continued)

- Plan Payment Report (APPS Payment Letter)
 - Report
 - Itemizes the final monthly payment to the Plan
 - This report is produced by the Automated Plan Payment System (APPS) when final payments are calculated
 - MARx makes this report available to Plans as part of the month-end processing
- Monthly Full Enrollment Data File
 - Data file
 - Includes all active membership for a Plan on the date the file was run
 - This file is considered a definitive statement of current Plan enrollment
 - Uses the same format as the weekly TRR
 - CMS will announce the availability of each month's file

Section 3 – Interpreting Transaction Reply Codes



Section 3 – Transaction Reply Codes

- What is a Transaction Reply Code (TRC)?
- Interpreting TRCs
- TRC Types
- Transactions and Replies
 - Plan Initiated Transactions and Replies
 - System Initiated Transactions and Replies
 - Multiple Sources for One Beneficiary
 - Hints for Evaluating Multiple Replies

Transaction Reply Codes

- What is a Transaction Reply Code (TRC)?
 - *A three digit numeric code generated in response to processing MARx input transactions and notifications.*
 - Enrollments, disenrollments, Plan and PBP changes, and correction transactions for authorized contracts
 - Notifications of events affecting beneficiaries such as death and health status
 - TRCs generated from notifications appear on the TRR only during the period of enrollment (i.e., TRC will not occur for notifications effective before the beneficiary is enrolled or after the beneficiary is disenrolled)
 - Auto and facilitated enrollments
 - *TRCs appear on the weekly and monthly TRR*

Interpreting Transaction Reply Codes

- Plans may receive multiple transaction replies for a single beneficiary on a single TRR; replies will vary depending upon individual beneficiary circumstances
- TRRs will contain:
 - Responses to Plan submitted transactions,
 - Beneficiary status/information changes
 - Responses to transactions as a result of other Plan actions
- Future system releases and updates may change the replies that are received by Plans. Always refer to CMS guidance and system documentation for current information
- For assistance with understanding transaction reply codes, contact the MMA Help Desk: 1-800-927-8069

Transaction Reply Code Types

- Reply codes are categorized by Type
 - ‘A’ (Accepted)
 - Action requested was performed
 - Occur for Plan submitted transactions 01, 60, 61, 51, 71, and 72
 - Occur for transaction 54 (1-800-MEDICARE)
 - ‘R’ (Rejected)
 - Action requested could not be performed
 - Occur for Plan submitted transactions 01, 60, 61, 51, 71, and 72
 - Occur for transaction 54 (1-800-MEDICARE)
 - ‘M’ (Maintenance)
 - Action performed to update beneficiary profile
 - Occur for change notifications for which 01 is the noted transaction type

Plan Initiated Transactions and Replies

PLAN Submissions

Transaction File

Enrollments (60, 61)

Disenrollments (51)

Plan & PBP Changes
(71, 72)

Corrections (01)

MARx Processing

Based on:

- Beneficiary current status
- Enrollment and submittal rules
- Other submitted transactions
- Transaction formatting

ACCEPTED TRANSACTIONS

- Transaction Reply given an "A" TRC
- Beneficiary's enrollment record is updated

REJECTED TRANSACTIONS

- Transaction Reply given a "R" TRC
- Beneficiary's enrollment record is NOT updated

FAILED TRANSACTIONS

- Not returned on BCSS nor TRR
- Returned to Plan in Failed Transaction Data file
- Beneficiary's enrollment record is NOT updated

Plan's TRR

Accepted Transaction Replies

- "A" Transaction Reply Codes
 - Enrollment Accepted
 - Disenrollment Accepted
 - Change Accepted
- May include other "A" Reply records with TRCs that give info about the transaction such as:
 - 016 –Out of Area
 - 023 – Name Change

Rejected Transaction Replies

- "R" Transaction Reply Codes
 - Enrollment Rejected
 - Disenrollment Rejected
 - Change Rejected
- TRC specifies reason for rejection

System Initiated Transactions and Replies

Plans may see replies on their TRR that are initiated by the system

Triggers for System Generated Transactions

Auto / Facilitated
Enrollments

Enrollments (61)

Notifications of
Beneficiary Status Changes

Changes from MBD
(01)

Enrollments Submitted
by Other Plans

Enrollments (60, 61)

Clean Up Activities

Any type of
transaction

MARx Processing

MARx considers:

- Beneficiary current status
- Enrollment and submittal rules
- Other submitted transactions

Plan's TRR

Auto / Facilitated Enrollments

- TRC 011 (Acceptance)
- Plus TRC 117 or 118 (Auto / Facilitated Enrollment)

Beneficiary Changes

- 01 Transactions with "M" type TRCs
- May trigger disenrollments (51)

Enrollments in other Plans

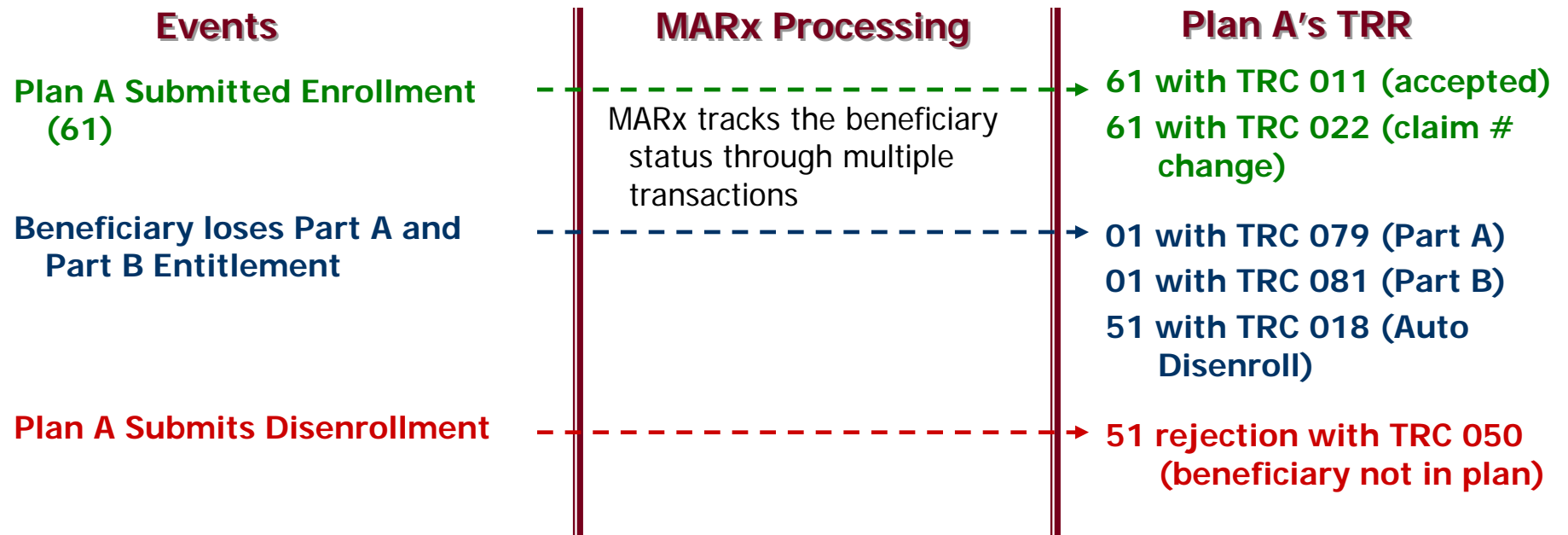
- Disenrollments (51)
- TRC codes indicating automatic disenrollment or enrollment cancellation (014, 015, 018)

Clean Ups

- Any transaction type
- Any TRC code
- Usually in special TRRs

Multiple Sources for One Beneficiary – Example

Plans may see the results of transactions for one beneficiary from multiple sources in one TRR



When evaluating Transaction Replies, Plans must consider the beneficiary's initial enrollment status and ALL transaction replies received for that beneficiary

Hints for Evaluating Multiple Replies for a Single Beneficiary

- Consider the beneficiary's initial status with the Plan
Example: Same two transaction replies -

Beneficiary Already Enrolled

Accepted Disenrollment (51/013)
+ Accepted Enrollment (61/011)
= Beneficiary is **ENROLLED**

Beneficiary NOT Already Enrolled

Accepted Enrollment (61/011)
+ Accepted Disenrollment (51/013)
= Beneficiary is **NOT ENROLLED**

- Look at the Processing Date – Field 22
 - This can help put the replies into the correct order
- Look at the Source ID – Field 28
 - This can identify transactions that originated with another plan's activity

A collage of three images related to medical research. The left image shows three test tubes with blue liquid. The middle image shows a close-up of a DNA microarray with a grid of small spots and a wavy line. The right image shows two scientists, a woman and a man, in a lab coat, looking at a clipboard.

Section 4 – MARx UI

- Logging On and Viewing Messages
- Viewing Beneficiary Information
- Viewing Payment and Premium Information
- Requesting Historical Reports

MARx UI – Getting Started

- The MARx UI provides the Plans with the ability to query MARx beneficiary, payment, and premium information
 - Plans cannot submit transactions through the MARx UI, only through the batch file interface
- Logging on
 - Plans are able to access the MARx UI via the CMS Applications Portal to query the MARx system
 - CMS Applications Portal referred to as the CUI
 - Internet
 - Plans link within the CMS Applications Portal at:
<https://applications.cms.hhs.gov>
 - Extranet
 - This link is also available from the CMS extranet at
<https://marx.cms.cmsnet>

MARx UI – Getting Started (continued)

- User roles and privileges
 - After you have a CMS user ID and password, a MARx user profile will be created for you
 - One or more roles will be assigned to you based on your job responsibilities
 - When you logon, you may accept the default role or choose one of the other roles assigned to you
 - Only those functions available to your role will appear in the menu and submenus

MARx UI – Logging On and Viewing Messages



The screenshot shows the MARx User Security Role Selection (M002) screen. At the top, there is a red header bar with the CMS logo on the left and the text "Medicare Advantage Prescription Drug (MARx)" in the center. Below the header, the screen title "User Security Role Selection (M002)" is on the left, and "User: XXXX Date: 1/12/2006" is on the right. The main content area contains two radio button options: "MCO REPRESENTATIVE" (which is selected) and "MCO REPRESENTATIVE TRANSMITTER". Below these options is a button labeled "Logon with Selected Role".

When you logon to MARx, the first screen you will see is the User Security Role Selection (M002) screen. It appears only at logon; you cannot get to this screen using the menu system. However, each time you logon to the system, you will be presented with the list of roles in your user profile. Select your role. You may accept the default role or choose one of the other roles assigned to you.



MARx UI – Logging On and Viewing Messages (continued)

The screenshot shows the Medicare Advantage Prescription Drug (MARx) Welcome (M101) screen. The header is red with the CMS logo and the title "Medicare Advantage Prescription Drug (MARx)". Below the header is a blue navigation bar with links: "Welcome | Beneficiaries | Payments | Reports". The main content area is white and contains the following information:

Welcome (M101) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Print](#) [Help...](#)

Broadcast Messages _____
This is DIT.

Current Payment Month _____
CPM: 01/2006


[MARx Calendar](#) _____

After your role is selected, you will be brought to the Welcome (M101) screen

Broadcast messages are shown on the Welcome (M101) screen under the Broadcast Messages heading. They provide information about system wide events, such as the start or completion of month-end processing. The list of messages will be refreshed every time you return to the screen. These messages expire without any user action



MARx UI – Logging On and Viewing Messages (continued)



Medicare Advantage Prescription Drug (MARx)
[Welcome](#) | [Beneficiaries](#) | [Payments](#) | [Reports](#)

Welcome (M101) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Print](#) [Help...](#)

Broadcast Messages

This is DIT.

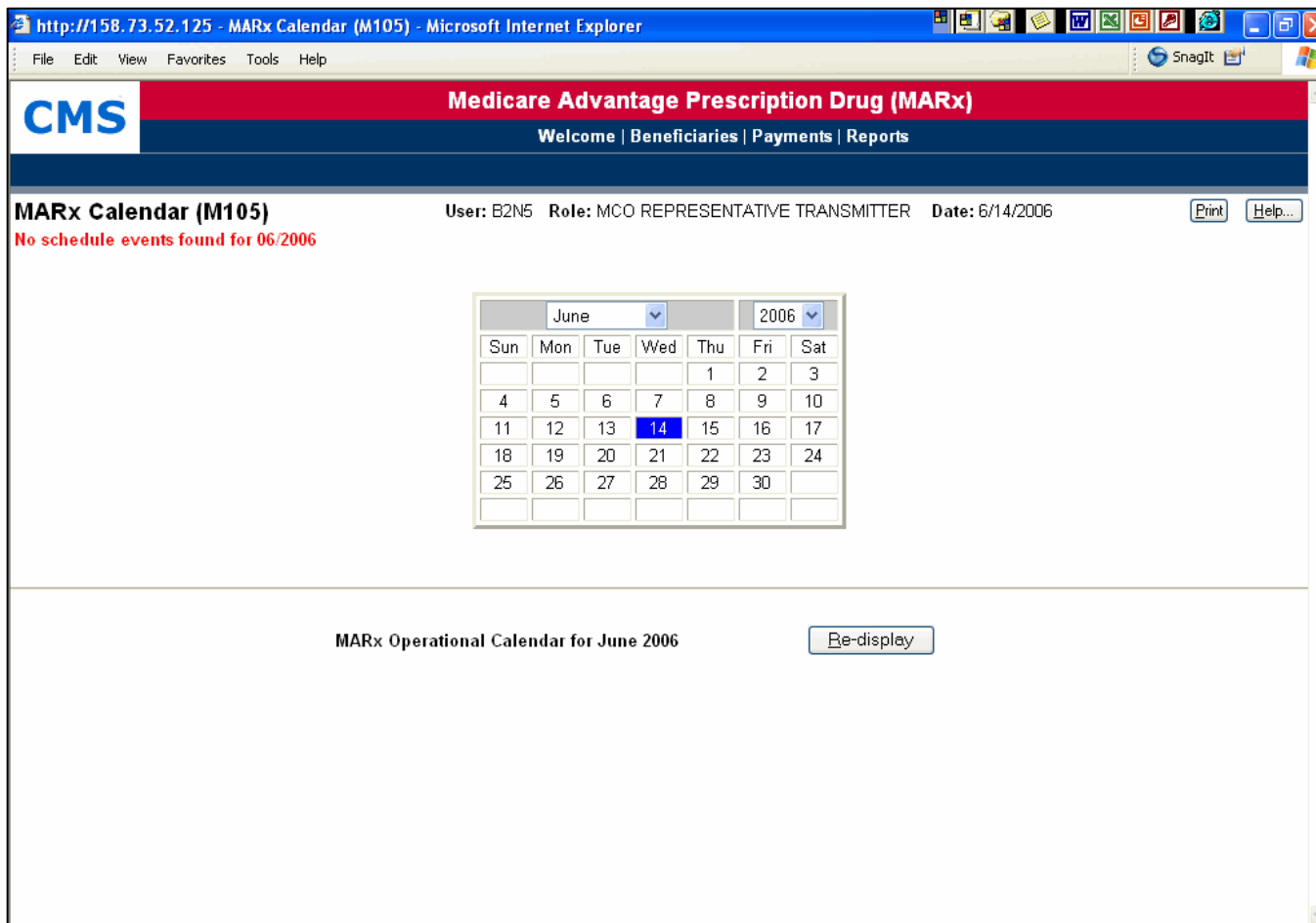
Current Payment Month

CPM: 01/2006

[MARx Calendar](#)

Click on the MARx Calendar link to display the MARx Calendar (M105) screen

MARx UI – Logging On and Viewing Messages (continued)



The screenshot shows a web browser window titled "http://158.73.52.125 - MARx Calendar (M105) - Microsoft Internet Explorer". The browser's address bar and menu bar are visible. The application header features the CMS logo and the title "Medicare Advantage Prescription Drug (MARx)" in a red banner. Below the banner, a navigation bar includes links for "Welcome", "Beneficiaries", "Payments", and "Reports".

The main content area displays the "MARx Calendar (M105)" for the user "B2N5" with the role "MCO REPRESENTATIVE TRANSMITTER" on the date "6/14/2006". A message states "No schedule events found for 06/2006". Below this, there is a calendar widget for June 2006. The calendar shows the days of the week (Sun through Sat) and the dates. The date "14" is highlighted in blue. Below the calendar, there is a section titled "MARx Operational Calendar for June 2006" with a "Re-display" button.

The top part of the screen shows a pictorial calendar for 1 month. When the screen is first displayed, the current month is shown with the current day highlighted in blue.

The bottom part of the screen (the operational calendar) shows the calendar events that are scheduled for that month, with the date and description of each event.



MARx UI – Logging On and Viewing Messages (continued)



When you are finished with your MARx activities, you should logout. To simplify logging out, you may use the logout screen to close all of your windows in one step.

MARx UI – Main Menu

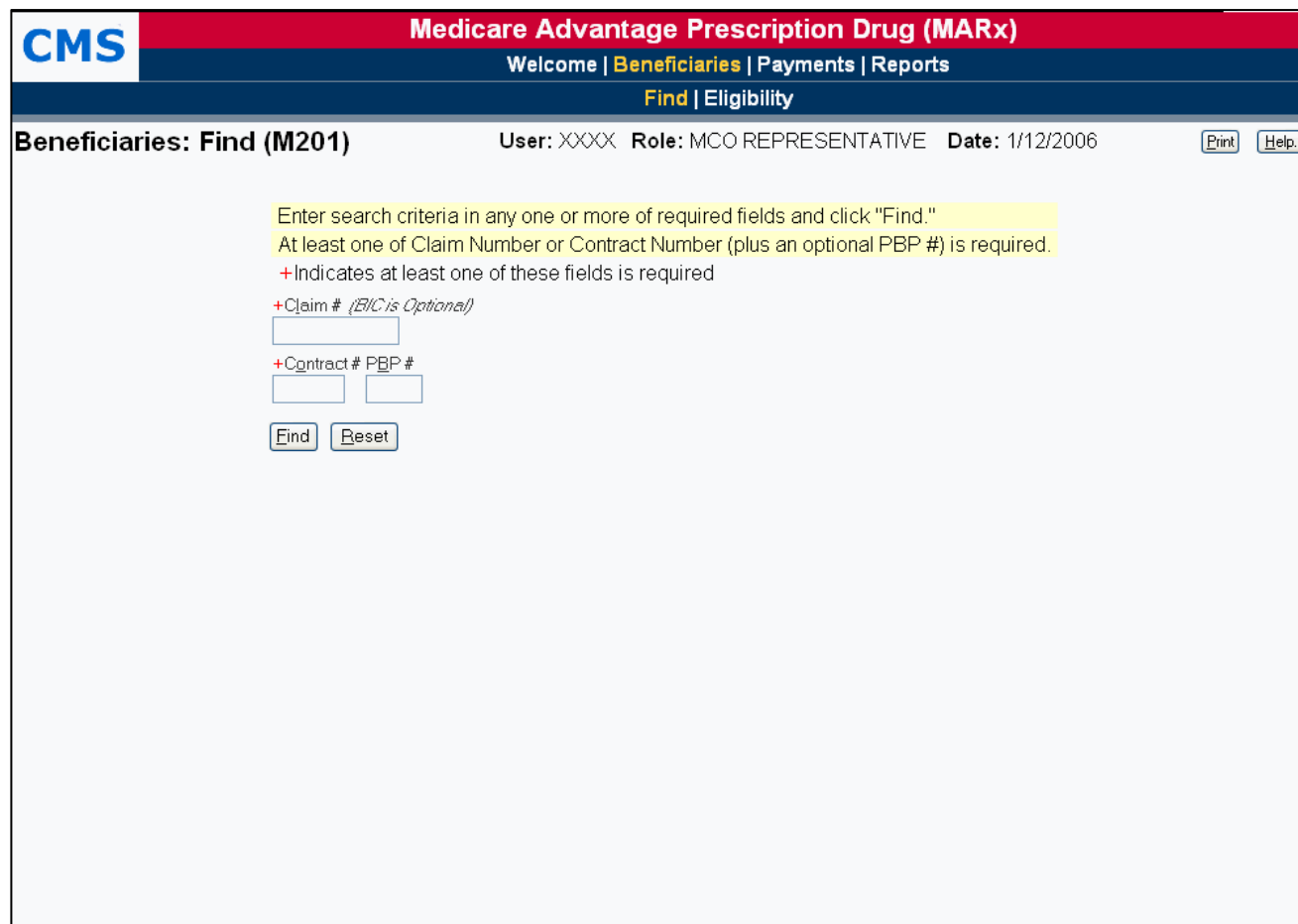
- Main menu items and a general description of the functions that can be found under each item

Main Menu Item	Description
Welcome	Messages, calendar, etc.
Beneficiaries	Search for beneficiaries and view beneficiary information
Payments	Retrieve payment and adjustment information for MCOs and beneficiaries
* Reports *Only available for MCO Representative Transmitter	Request historical reports

MARx UI – Viewing Beneficiary Information

- You can search for a particular beneficiary by specifying one or more selection criteria
- After you have found the beneficiary of interest, you can view beneficiary detail on the following screens:
 - Beneficiary Detail: Snapshot (M203) screen
 - Beneficiary Detail: Enrollment (M204) screen
 - Beneficiary Detail: Status (M205) screen
 - Beneficiary Detail: Payments (M206) screen
 - Beneficiary Detail: Adjustments (M207) screen
 - Beneficiary Detail: Premiums (M231) screen
 - Beneficiary Detail: Factors (M220) screen
 - Payment/Adjustment Detail (M215) screen
 - Enrollment Detail (M222) screen

MARx UI – Beneficiaries: Find (M201)



The screenshot shows the 'Beneficiaries: Find (M201)' screen in the MARx UI. The header bar is red with the CMS logo on the left and the text 'Medicare Advantage Prescription Drug (MARx)' in the center. Below the header, a navigation bar contains 'Welcome | Beneficiaries | Payments | Reports'. The main content area has a title 'Beneficiaries: Find (M201)' and user information: 'User: XXXX Role: MCO REPRESENTATIVE Date: 1/12/2006'. There are 'Print' and 'Help...' buttons. The search instructions are: 'Enter search criteria in any one or more of required fields and click "Find."' and 'At least one of Claim Number or Contract Number (plus an optional PBP #) is required.' Below this, a red plus sign indicates that at least one of the following fields is required: '+Claim # (EIC is Optional)' and '+Contract # PBP #'. There are input fields for each of these. At the bottom, there are 'Find' and 'Reset' buttons.

CMS Medicare Advantage Prescription Drug (MARx)

Welcome | **Beneficiaries** | Payments | Reports

Find | Eligibility

Beneficiaries: Find (M201) User: XXXX Role: MCO REPRESENTATIVE Date: 1/12/2006

Enter search criteria in any one or more of required fields and click "Find."

At least one of Claim Number or Contract Number (plus an optional PBP #) is required.


+Indicates at least one of these fields is required

+Claim # (EIC is Optional)

+Contract # PBP #

Use the Beneficiaries: Find (M201) screen to search for the beneficiary. Once you find the person, you may then view information on that beneficiary.

MARx UI – Beneficiaries: Search Results (M202)



Medicare Advantage Prescription Drug (MARx)
Welcome | **Beneficiaries** | Payments | Reports
[Find](#) | Eligibility

Beneficiaries: Search Results (M202)
User: XXXX Role: MCO REPRESENTATIVE Date: 1/12/2006
Print Help...

Click on Claim # link to view Beneficiary Enrollment Details.
Search Criteria: Contract #= H6666

Beneficiaries 1-20(of 281)
Go to Page: 1 Go

Claim #	Name	Birth Date	Date of Death	Sex	State	County
999123456A	MILLIE I. DUNKIRK	06/15/1912		F	MD	HOWARD
997246810B	JOHN D. JONES	11/06/1922		M	MD	HOWARD
998101001B	STEVEN K. MILLER	02/18/1916		M	TX	BEXAR
999112222A	JASON J. CONNORS	07/14/1935		M	MD	HOWARD
998999999M	MARSHA P. RIGHT	03/03/1933		F	MD	HOWARD
999010203A	MATTHEW K. SPENCE JR	10/19/1945		M	MD	HOWARD
997234567B	GLENN P. SMITH	06/03/1941		M	MD	MONTGOMERY
997543210A	JAMES Q. SHORT	10/06/1920		U	MD	HOWARD
998115463A	CHRIS C. PRINCE	10/14/1933		M	MD	HOWARD
999223333A	LEO S. BELL	04/18/1937		M	NY	ROCKLAND
999876543A	VERNA M. MILLER	04/06/1914		F	PA	BLAIR
997334444A	MARY P. SHUTE	07/29/1915		F	PA	BLAIR
999040506B	KATHERINE M. SPITZ	04/14/1917		F	PA	BLAIR
999466168M	LISA R. LOTTA	02/12/1918		M	PA	BLAIR
997759782A	KAREN C. LOTTA	01/22/1919		F	PA	BLAIR
998436753A	MARY K. PALANCE	11/29/1918		F	MD	MONTGOMERY
999192837A	CATHERINE H. SCHOLE	05/22/1936		F	NY	ROCKLAND
999888777D	IRWIN J. GERALD	04/19/1936		M	MD	MONTGOMERY
999121314A	TIMOTHY DRAYTON SR	04/18/1953		M	MD	HOWARD
999991111M	WESTON CLAY	01/12/1925		M	MD	HOWARD

If your search is successful, the **Beneficiaries: Search Results (M202)** screen is displayed. From this screen you can see summary information about each beneficiary that meets the search criteria. sorted by claim number or by name by clicking on the Claim # column heading or the Name column heading. To see more details about any particular beneficiary in this list, click on a Claim # link. This displays the **Beneficiary Detail: Snapshot (M203)** screen in a pop-up window with a menu to get to various screens.

MARx UI – Beneficiary Detail: Snapshot (M203)

Claim #:999876543A 112 E WILLOW AVE ALTOONA, PA 16601-3944		VERNA M. MILLER		DOB: 04/06/1914 Age: 91 Sex: FEMALE State: PA (39) County: BLAIR (120)	
Snapshot Enrollment Status Payments Adjustments Premiums Factors Utilization					
Beneficiary Detail:Snapshot (M203) User: XXXX Role: MCO REPRESENTATIVE Date: 10/15/2006 Close Change... Print Help...					
Change date to re-display Beneficiary Details and click "Find."					
As Of: <input type="text" value="10/15/2006"/> Find					
Contract: H6666 MCO Name: TRI-STAR INSURANCE PBP Number: A01 Segment Number: 123 Special Needs Type: Bonus Payment Portion Percent: 0% Demographic Blend Portion Percent: 70% Residency Status: Out of Area			Contract: S1234 MCO Name: HOWARD HEALTH, INC. PBP Number: B01 Segment Number: 000 Special Needs Type: Bonus Payment Portion Percent: 0% Demographic Blend Portion Percent: 0% Residency Status: In Area		
Residence for Payments: State: MN (24) County: ANOKA (010)					
Status Flags: <input type="checkbox"/> Hospice <input type="checkbox"/> ESRD <input type="checkbox"/> Working Aged <input type="checkbox"/> Inst <input type="checkbox"/> NHC <input type="checkbox"/> Medicaid					
Payment Flags: <input type="checkbox"/> Disabled <input type="checkbox"/> CHF <input type="checkbox"/> Part B Premium Reduction <input checked="" type="checkbox"/> Long Term Institutional					
Low Income Subsidy: Subsidy Start: 01/01/2006 Subsidy End: 12/31/2006 LI Premium Subsidy Level: 100.00% LI Co-payment Level: 1					
Original Reason for Entitlement: 1					

The Beneficiary Detail: Snapshot (M203) screen provides payment, status, adjustment, entitlement, enrollment, and premium information for the beneficiary as of the date you specify. When the beneficiary is enrolled in two contracts (one for Parts A and/or B and the other for Part D), information on both contracts is displayed.

MARx UI – Beneficiary Detail: Snapshot (M203)

Original Reason for Entitlement: 1

Payments For Payment Date 10/2006

Rate Used		Part A	Part B	Part D	Total
	DEMOGRAPHIC	\$358.20	\$244.65	\$0.00	\$602.85
	RISK ADJUSTED	\$447.00	\$304.16	\$0.00	\$751.16
*	NET PAYMENTS	\$384.84	\$262.51	\$0.00	\$647.35
*	PART D DIRECT SUBSIDY	\$0.00	\$0.00	\$59.62	\$59.62
*	PART D REINSURANCE	\$0.00	\$0.00	\$26.97	\$26.97
*	PART D COST SHARING	\$0.00	\$0.00	\$104.78	\$104.78
*	PART D LIP	\$0.00	\$0.00	\$30.90	\$30.90
	PART D BASIC PREMIUM	\$0.00	\$0.00	\$30.90	\$30.90
*	TOTAL PDP	\$0.00	\$0.00	\$222.27	\$222.27

Adjustments Applied to 10/2006

Rate Used		Part A	Part B	Part D	Total
		\$0.00	\$0.00	\$0.00	\$0.00

Entitlement Information

	Eligible	Option
Part A:	07/01/1973	E
Part B:	07/01/1973	Y
Part D:	01/01/2006	N/A

Enrollment Information

Contract	Start Date	End Date
H6666	08/01/2006	
S1234	08/01/2006	

The Beneficiary Detail: Snapshot (M203) screen continued.

MARx UI – Beneficiary Detail: Snapshot (M203)

*The Beneficiary
Detail: Snapshot
(M203) screen
continued.*

Adjustments Applied to 10/2006					
Rate Used		Part A	Part B	Part D	Total
		\$0.00	\$0.00	\$0.00	\$0.00

Entitlement Information			Enrollment Information		
	Eligible	Option	Contract	Start Date	End Date
Part A:	07/01/1973	E	H6666	08/01/2006	
Part B:	07/01/1973	Y	S1234	08/01/2006	
Part D:	01/01/2006	N/A			

Premiums	
Premium Withholding Option:	DIRECT SELF-PAY
Part C Premium (from enrollment):	\$32.15
Part D Premium (from enrollment):	\$30.90
Low Income Subsidy:	\$30.90
Late Enrollment Penalty:	\$0.00
Late Enrollment Penalty Waived Amount:	\$0.00
Late Enrollment Penalty Subsidy:	\$0.00
Beneficiary's Total Part D Premium:	\$0.00
Total C+D Premium (paid by beneficiary):	\$32.15

MARx UI – Beneficiary Detail: Enrollment (M204)

http://158.73.52.125 - Beneficiary Detail: Enrollment (M204) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
3109 BENTLEY CT **Age: 99 Sex: FEMALE**
WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot **Enrollment** Status | Payments | Adjustments | Premiums | Factors

Beneficiary Detail: Enrollment (M204) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close](#) [Print](#) [Help...](#)

Enrollments 1-1 (of 1) (Click on Contract# to view details)

	Contract	PBP #	Segment #	Drug Plan	Start	End	Source	Disenrollment Reason	Action
1	H3449	005	000	Y	06/01/2002		H3449		Payment

The Beneficiary Detail: Enrollment (M204) screen displays a summary list of enrollment information by contract (and PBP and segment numbers, as applicable). When the beneficiary is enrolled in two contracts (one for Parts A and/or B and the other for Part D), two rows covering the same time period are displayed. This is how the screen looks when it initially appears.

MARx UI – Enrollment Details (M222)

Claim #: 999876543A 112 E WILLOW AVE ALTOONA, PA 16601-3944		VERNA M. MILLER		DOB: 04/06/1914 Age: 91 Sex: FEMALE State: PA (39) County: BLAIR (120)	
Enrollment Detail (M222)		User: XXXX Role: MCO REPRESENTATIVE Date: 8/1/2006		<input type="button" value="Close"/> <input type="button" value="Print"/> <input type="button" value="Help..."/>	
<p> Contract: H9999 MCO Name: ACME HEALTH SERVICES PBP Number: 013 Segment Number: 000 Drug Plan: Y Effective Start Date: 01/01/2006 Effective End Date: 07/31/2006 EGHP: Y Enrollment Forced Code: Disenrollment Reason Code: 13 Application Date: 12/01/2005 Enrollment Election Type: ANNUAL ELECTION PERIOD (AEP) Disenrollment Election Type: SPECIAL ELECTION PERIOD (SEP) Special Needs Type: Enrollment Source: BENEFICIARY ELECTION Part D Auto-Enrollment Opt-Out: </p>					

To see details of the transaction that enrolled the beneficiary in a contract, click on the Contract link on the Beneficiary Detail: Enrollment (M204) screen. This will display the Enrollment Detail (M222) screen for that contract and this beneficiary.

MARx UI – Beneficiary Detail: Enrollment (M204)

http://158.73.52.125 - Beneficiary Detail: Enrollment (M204) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
 3109 BENTLEY CT **Age: 99 Sex: FEMALE**
 WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot | Enrollment | Status | Payments | Adjustments | Premiums | Factors

Beneficiary Detail: Enrollment (M204) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Enrollments 1-1 (of 1) (Click on Contract# to view details)

	Contract	PBP #	Segment #	Drug Plan	Start	End	Source	Disenrollment Reason	Action
1	H3449	005	000	Y	06/01/2002		H3449		Payment

Payments 1-20 (of 123) for Contract# H3449
(Click on Payment date for details)

Go to Page: 1 [Gg] [Navigation Icons]

Payment Date	Contract#	Payments	Adjustments	Hospice	ESRD	Working Aged	Inst	NHC	Medicaid	Disability	CHF	Part B Premium Reduction
06/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
05/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
04/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
03/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
02/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
01/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
12/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
11/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
10/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
09/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
08/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
07/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
06/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
05/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-

To see a summary of payment and adjustment information for a particular contract, click on the Payment link associated with that contract, PBP and segment (as applicable), and start date. This expands the information on the Beneficiary Detail: Enrollment (M204) screen to include the Payments section.

MARx UI – Payment/Adjustment Detail (M215)

http://158.73.52.125 - Payment/Adjustment Detail (M215) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
3109 BENTLEY CT **Age: 99 Sex: FEMALE**
WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Payment/Adjustment Detail (M215) **User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006** [Close](#) [Print](#) [Help...](#)

Payments/Adjustment Table - Contract# H3449

Rebate for Part D Premium reduction is in the A and B columns. It is reflected correctly in the total Part D payments.

Payment Date	Type	Description	Adjustment Code	Payment/Adjustments				Paid for Month
				Part A	Part B	Part D	Total	
06/2006	PAYMENT	BLEND	-	\$298.44	\$254.74	\$0.00	\$553.18	06/2006
06/2006	PAYMENT COMPONENT	DEMOGRAPHIC	-	\$394.66	\$291.30	\$0.00	\$685.96	06/2006
06/2006	PAYMENT COMPONENT	RISK ADJUSTED	-	\$266.37	\$242.55	\$0.00	\$508.92	06/2006
06/2006	PAYMENT	PART C PREMIUM	-	\$0.00	\$0.00	\$0.00	\$0.00	06/2006
06/2006	PAYMENT	PART A/B COST SHARING REDUCTION	-	\$33.67	\$30.65	\$0.00	\$64.32	06/2006
06/2006	PAYMENT	PART A/B MANDATORY SUPP BENEFITS	-	\$0.00	\$0.00	\$0.00	\$0.00	06/2006
06/2006	PAYMENT	PART D SUPP BENEFITS	-	\$0.00	\$0.00	\$0.00	\$0.00	06/2006
06/2006	PAYMENT COMPONENT	PART D BASIC PREMIUM	-	\$0.00	\$0.00	\$34.57	\$34.57	06/2006
06/2006	PAYMENT	PART D DIRECT SUBSIDY	-	\$0.00	\$0.00	\$8.88	\$8.88	06/2006
06/2006	PAYMENT	PART D REINSURANCE	-	\$0.00	\$0.00	\$34.00	\$34.00	06/2006
06/2006	PAYMENT	PART D BASIC PREMIUM REDUCTION REBATE	-	\$0.00	\$0.00	\$0.00	\$0.00	06/2006
06/2006	PAYMENT	TOTAL	-	\$332.11	\$285.39	\$42.88	\$660.38	06/2006

To see the payment and adjustment information in further detail from the Beneficiary Detail: Enrollment (M204) screen, click on one of the month/year links in the Payment Date column to display the Payment/Adjustment Detail (M215) screen.

MARx UI – Beneficiary Detail: Status (M205)

http://158.73.52.125 - Beneficiary Detail:Status (M205) - Microsoft Internet Explorer

Claim #:WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
3109 BENTLEY CT **Age: 99 Sex: FEMALE**
WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot | Enrollment | **Status** | Payments | Adjustments | Premiums | Factors

Beneficiary Detail:Status (M205) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Status 1-1(of 1) (Click on Contract# to view payment details)

	Contract	PBP #	Seg #	Drug Plan	Status Period		Hospice	ESRD	Working Aged	Inst	NHC	Medicaid	Pt-A	SCC	Out of Area
					Start	End									
1	H3449	005	000	Y	06/01/2002		-	-	-	-	-	-	✓	34330	-

The Beneficiary Detail: Status (M205) screen displays a list of enrollment and health status by contract/PBP/segment for this beneficiary.

MARx UI – Beneficiary Detail: Status (M205)

http://158.73.52.125 - Beneficiary Detail:Status (M205) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB:** 04/14/1907
 3109 BENTLEY CT **Age:** 99 **Sex:** FEMALE
 WINSTON SALEM, NC 27104-1800 **State:** NC (34) **County:** FORSYTH (330)

Snapshot | Enrollment | **Status** | Payments | Adjustments | Premiums | Factors

Beneficiary Detail:Status (M205) **User:** B2N5 **Role:** MCO REPRESENTATIVE TRANSMITTER **Date:** 6/14/2006 [Close](#) [Print](#) [Help...](#)

Status 1-1(of 1) (Click on Contract# to view payment details)

	Contract	PBP #	Seg #	Drug Plan	Status Period		Hospice	ESRD	Working Aged	Inst	NHC	Medicaid	Pt-A	SCC	Out of Area
					Start	End									
1	H3449	005	000	Y	06/01/2002		-	-	-	-	-	-	✓	34330	-

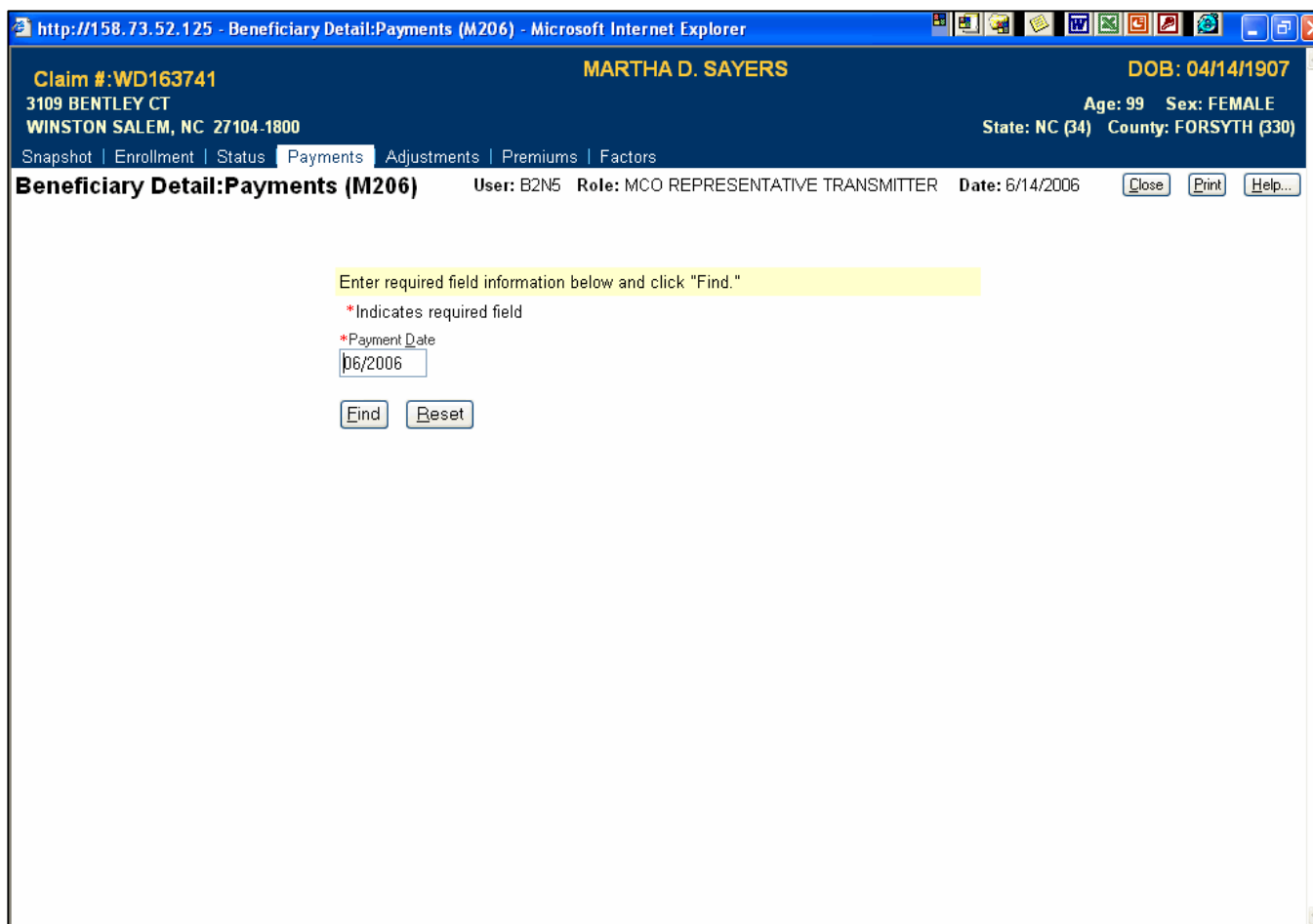
Payments 1-20(of 123) for Contract# H3449
 (Click on Payment date for details)

Go to Page: [Go](#) [Previous](#) [Next](#) [First](#) [Last](#)

Payment Date	Contract#	Payments	Adjustments	Hospice	ESRD	Working Aged	Inst	NHC	Medicaid	Disability	CHF	Part B Premium Reduction
06/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
05/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
04/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
03/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
02/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
01/2006	H3449	\$660.38	\$0.00	-	-	-	-	-	-	-	-	-
12/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
11/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
10/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
09/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
08/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
07/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
06/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-
05/2005	H3449	\$716.08	\$0.00	-	-	-	-	-	-	-	-	-

To see a summary of payment and adjustment information for a particular contract, click on the contract link in the Contract column associated with that contract, PBP, segment number (as applicable), and start date. This expands the information on the Beneficiary Detail: Status (M205) screen to include the Payments section.

MARx UI – Beneficiary Detail: Payments (M206)



http://158.73.52.125 - Beneficiary Detail:Payments (M206) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**

3109 BENTLEY CT
WINSTON SALEM, NC 27104-1800

Age: 99 Sex: FEMALE
State: NC (34) County: FORSYTH (330)

Snapshot | Enrollment | Status | **Payments** | Adjustments | Premiums | Factors

Beneficiary Detail:Payments (M206) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Enter required field information below and click "Find."

*Indicates required field

*Payment Date
06/2006

[Find] [Reset]

The Beneficiary Detail: Payments (M206) screen, displays a screen, that provides a field for entering a payment month and year.

MARx UI – Beneficiary Detail: Payments (M206)

http://158.73.52.125 - Beneficiary Detail:Payments (M206) - Microsoft Internet Explorer

Claim #:WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
3109 BENTLEY CT **Age: 99 Sex: FEMALE**
WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot | Enrollment | Status | **Payments** | Adjustments | Premiums | Factors

Beneficiary Detail:Payments (M206) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Enter required field information below and click "Find."

*Indicates required field

*Payment Date

[Find] [Reset]

Payments 1-20(Of 123) (Click on payment date to view details) Go to Page: [Go] [Previous] [Next]

Payment Date	Contract	PBP#	Seg#	Payments				Adjustments				Total	Part B Premium Reduction	Regional MA BSF
				Part A	Part B	Part D	Total Pay	Part A	Part B	Part D	Total Adj			
06/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
05/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
04/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
03/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
02/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
01/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
12/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
11/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
10/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
09/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
08/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00

To expand the Beneficiary Detail: Payments (M206) screen and display a list (ordered by payment month) of payment and adjustment information, enter the month and year of the payment date and click on the [Find] button. The information is displayed by Part A, B, and D for months up through the payment date.

MARx UI – Beneficiary Detail: Adjustments (M207)

http://158.73.52.125 - Beneficiary Detail:Adjustments (M207) - Microsoft Internet Explorer

Claim #: WD163741 3109 BENTLEY CT WINSTON SALEM, NC 27104-1800	MARTHA D. SAYERS	DOB: 04/14/1907 Age: 99 Sex: FEMALE State: NC (34) County: FORSYTH (330)
---	-------------------------	---

Snapshot | Enrollment | Status | Payments | **Adjustments** | Premiums | Factors

Beneficiary Detail:Adjustments (M207) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006

Enter required field information below and click "Find."

*Indicates required field

*Payment Month

The Beneficiary Detail: Adjustments (M207) screen, displays a screen, that provides a field for entering a payment month and year.

MARx UI – Beneficiary Detail: Adjustments (M207)

http://158.73.52.125 - Beneficiary Detail:Adjustments (M207) - Microsoft Internet Explorer

Claim #:WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
3109 BENTLEY CT **Age: 99 Sex: FEMALE**
WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot | Enrollment | Status | Payments | **Adjustments** | Premiums | Factors

Beneficiary Detail:Adjustments (M207) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close](#) [Print](#) [Help...](#)

Enter required field information below and click "Find."

*Indicates required field

*Payment Month

[Find](#) [Reset](#)

Adjustments 1-6(0f 6) (Click on adjustment date to view details)

Adjustment Date	Contract	PBP	Segment	Description	Adjustment Code	Adjustments				Paid for Month
						Part A	Part B	Part D	Total	
08/2004	H3449	005	000	PART C RISK ADJ FACTOR CHANGE/RECON	25	(\$36.35)	(\$29.90)	\$0.00	(\$66.25)	06/2004
08/2004	H3449	005	000	PART C RISK ADJ FACTOR CHANGE/RECON	25	(\$36.35)	(\$29.90)	\$0.00	(\$66.25)	05/2004
08/2004	H3449	005	000	PART C RISK ADJ FACTOR CHANGE/RECON	25	(\$36.35)	(\$29.90)	\$0.00	(\$66.25)	04/2004
08/2004	H3449	005	000	PART C RISK ADJ FACTOR CHANGE/RECON	25	(\$36.35)	(\$29.90)	\$0.00	(\$66.25)	03/2004
08/2004	H3449	005	000	PART C RISK ADJ FACTOR CHANGE/RECON	25	(\$35.13)	(\$28.93)	\$0.00	(\$64.06)	02/2004
08/2004	H3449	005	000	PART C RISK ADJ FACTOR CHANGE/RECON	25	(\$37.57)	(\$30.86)	\$0.00	(\$68.43)	01/2004

To expand the screen to display a list (ordered by adjustment month) of adjustment information that occurred up through the payment month you entered, enter the month and year of the payment date and click on the [Find] button. The Part A, B, and D adjustments are listed in adjustment code.

MARx UI – Beneficiary Detail: Premiums (M231)

http://158.73.52.125 - Beneficiary Detail: Premiums (M231) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**

3109 BENTLEY CT **Age: 99 Sex: FEMALE**

WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot | Enrollment | Status | Payments | Adjustments | **Premiums** | Factors

Beneficiary Detail: Premiums (M231) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Enter the month of the premiums to be viewed and click "Find."

*Indicates required field

*Payment Month

06/2006

[Find] [Reset]

The Beneficiary Detail: Premiums (M231) screen displays a screen that provides a field for entering a payment month and year.

MARx UI – Beneficiary Detail: Premiums (M231)

http://158.73.52.125 - Beneficiary Detail:Premiums (M231) - Microsoft Internet Explorer

Claim #:WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
3109 BENTLEY CT **Age: 99 Sex: FEMALE**
WINSTON SALEM, NC 27104-1800 **State: NC (34) County: FORSYTH (330)**

Snapshot | Enrollment | Status | Payments | Adjustments | **Premiums** | Factors

Beneficiary Detail:Premiums (M231) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Enter the month of the premiums to be viewed and click "Find."

*Indicates required field

*Payment Month

[Find] [Reset]

Premiums 1-1(of 1)

Start Date	End Date	Creation Date	Enrollment			Premium Withholding Option	Enrollment Premiums		Low Income Subsidy			Late Enrollment				
			Contract	PBP	Segment		Part C	Part D	Subsidy	Subsidy %	Subsidy Adj	# of Uncovered Months	Penalty	Penalty Adj	LEP Subsidy	LEP Waiver
01/01/2006	12/31/2006	11/29/2005	H3449	005	000	DIRECT SELF-PAY	\$0.00	\$47.29	\$0.00	0.00%	N	0	\$0.00	N	\$0.00	\$0.00

To expand the Beneficiary Detail: Premiums (M231) screen and display a list of premium information, enter the month and year of the payment date and click on the [Find] button. The information for the contracts in which the beneficiary was enrolled that month is displayed.

MARx UI – Beneficiary Detail: Factors (M220)

http://158.73.52.125 - Beneficiary Detail: Factors (M220) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**

3109 BENTLEY CT
WINSTON SALEM, NC 27104-1800

Age: 99 Sex: FEMALE
State: NC (34) County: FORSYTH (330)

Snapshot | Enrollment | Status | Payments | Adjustments | Premiums | **Factors**

Beneficiary Detail: Factors (M220) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Factors 1-13 (of 13)

	Factor Type	Part A	Part B	Standard Part D	PIP-DCG	Start Date	End Date
1	COMMUNITY RAF	0.8050	0.8050	0.0000		01/01/2006	12/31/2006
2	COMMUNITY RAF	1.0400	1.0400	0.0000		01/01/2005	12/31/2005
3	COMMUNITY RAF	1.0400	1.0400	0.0000		01/01/2005	12/31/2005
4	COMMUNITY RAF	0.0000	0.0000	0.4590		01/01/2006	12/31/2006
5	COMMUNITY RAF	0.8050	0.8050	0.0000		01/01/2004	12/31/2004
6	INSTITUTIONAL RAF	0.8690	0.8690	0.0000		01/01/2005	12/31/2005
7	INSTITUTIONAL RAF	0.5810	0.5810	0.0000		01/01/2006	12/31/2006
8	INSTITUTIONAL RAF	0.5810	0.5810	0.0000		01/01/2004	12/31/2004
9	INSTITUTIONAL RAF	0.8690	0.8690	0.0000		01/01/2005	12/31/2005
10	RA FACTOR	1.1370	1.1370	0.0000	04	01/01/2002	12/31/2002
11	RA FACTOR	1.1620	1.1620	0.0000	04	01/01/2000	12/31/2000
12	RA FACTOR	1.1620	1.1620	0.0000	04	01/01/2001	12/31/2001
13	RA FACTOR	2.0430	2.0430	0.0000	09	01/01/2003	12/31/2003

The Beneficiary Detail: Factors (M220) screen displays a screen, that provides the factors that were used to calculate payments.

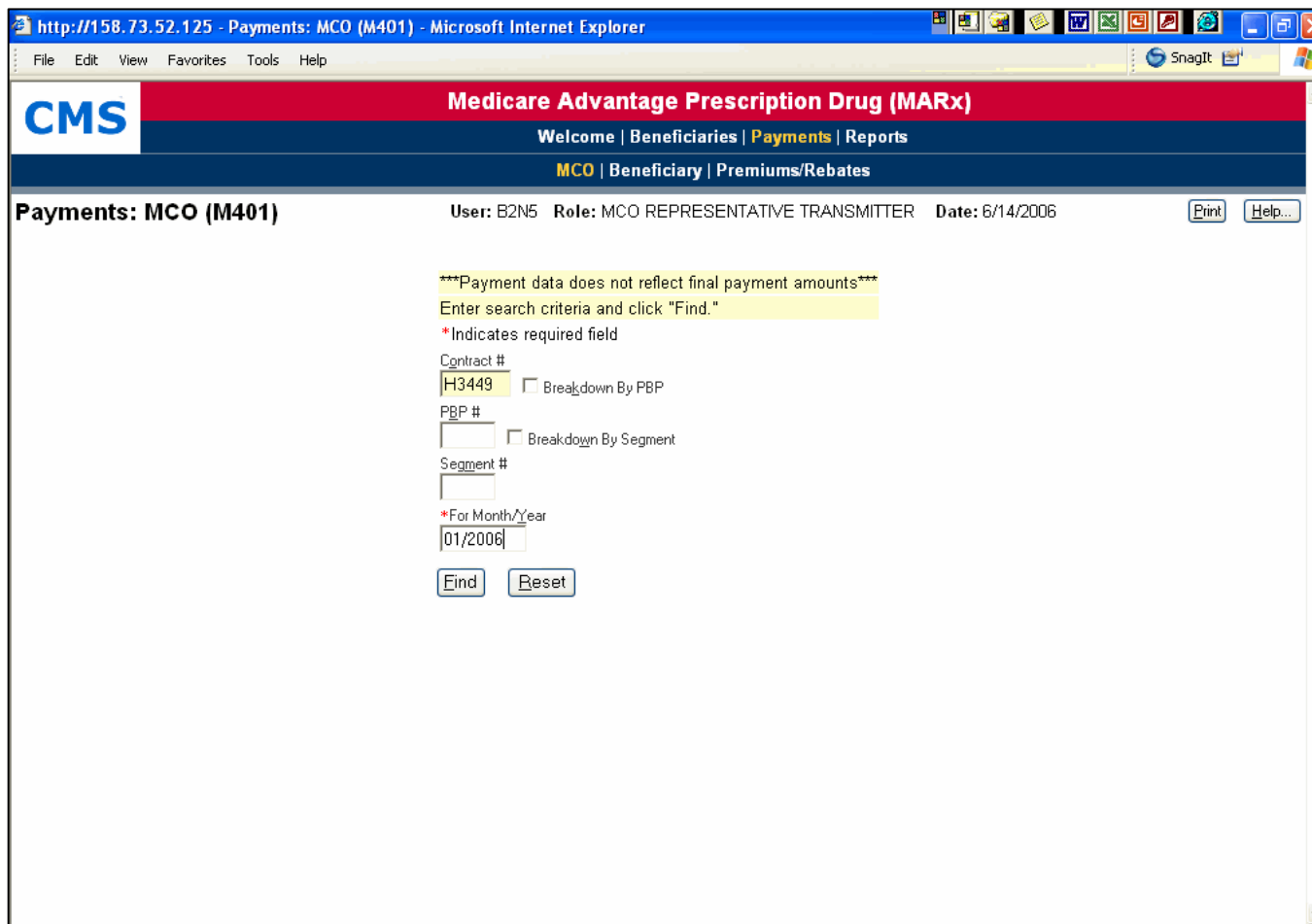
MARx UI – Viewing Payment and Premium Information

- Total payments to plans are calculated as part of month-end processing
- MCO payment amounts are based on the beneficiary capitation amounts and may differ from the actual payment to the plan due to contract-level payment adjustments, such as the Balanced Budget Act (BBA) User Fee adjustment
- For the current processing month, the payments reflect the transactions processed to date

MARx UI – Viewing Payment and Premium Information

- Plan, and beneficiary payment information may be viewed on these screens:
 - Payments: MCO (M401) Screen
 - Payments: MCO Payments (M402) Screen
 - Adjustment Detail (M408) Screen
 - Payments: Beneficiary (M403) Screen
 - Payments: Beneficiary Search Results (M404) Screen
 - Beneficiary Payment History (M406) Screen
- Basic premium and rebate information for plans may be viewed in these screens:
 - Payments: Basic Premiums and Rebates (M409) Screen

MARx UI – Payments: MCO (M401) Screen



http://158.73.52.125 - Payments: MCO (M401) - Microsoft Internet Explorer

File Edit View Favorites Tools Help

CMS Medicare Advantage Prescription Drug (MARx)

Welcome | Beneficiaries | **Payments** | Reports

MCO | Beneficiary | Premiums/Rebates

Payments: MCO (M401) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 Print Help...

Payment data does not reflect final payment amounts
Enter search criteria and click "Find."

*Indicates required field

Contract #
H3449 ☐ Breakdown By PBP

PBP #
☐ Breakdown By Segment

Segment #

*For Month/Year
01/2006

Find Reset

From the MARx main menu, click on the |Payments| menu item. The |MCO| submenu item is already selected and displays the Payments: MCO (M401) screen. The Payments: MCO (401) screen is used for entering selection criteria. Enter the month and year for the payments you want to see.

MARx UI – Payments: MCO Payments (M402)

CMS

Medicare Advantage Prescription Drug (MARx)

Welcome | Beneficiaries | Payments | Reports

MCO | Beneficiary | Premiums/Rebates

Payments: MCO Payments (M402)

User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006

Print

Help...

Payment Information for Month 01/2006 1-2(of 2)
(Click on Contract# to display Payment Summary)

Contract #	Contract Name	Payments			
		Part A	Part B	Part D	Total
H3449	PARTNERS NATIONAL HEALTH PLANS OF NC, INC.	\$13,530,713.88	\$12,571,874.36	\$4,186,380.90	\$30,288,969.14
H3449	PARTNERS NATIONAL HEALTH PLANS OF NC, INC.	\$13,531,628.79	\$12,572,742.05	\$4,186,991.88	\$30,291,362.72

Displayed is the Payments: MCO Payments (M402) screen that results when only a month/year is entered on the Payments: MCO (M401) screen, and a contract number and PBP are not entered, and Breakdown By PBP is not specified. All contracts to which the user has access are displayed, and the payments are shown at the contract level.

MARx UI – Adjustment Detail (M408) Screen

http://158.73.52.125 - Adjustment Detail (M408) - Microsoft Internet Explorer

CMS Medicare Advantage Prescription Drug (MARx)

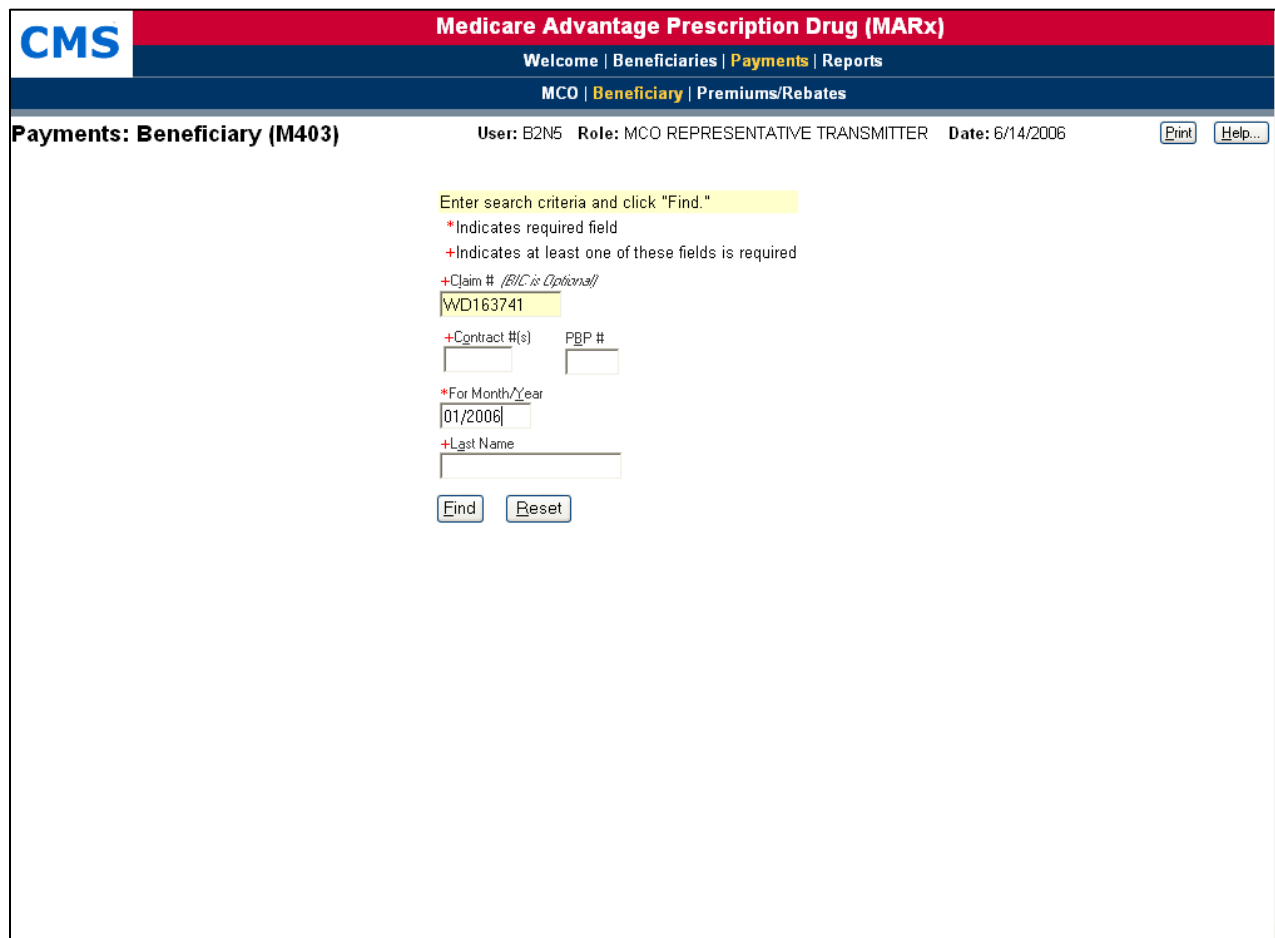
Adjustment Detail (M408) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Contract Number: H3449
 Payment Date: 01/2006
 For: 03 RETROACTIVE DISENROLLMENT
 Adjustment Details of Beneficiaries 1-14 (of 14)

Claim #	Name	Create Date	Payment Period		Adjustments		
			Start	End	Part A	Part B	Part D
238524312A		01/01/2006	12/2005	12/2005	(\$156.46)	(\$149.55)	\$0.00
238546484A		01/01/2006	12/2005	12/2005	(\$200.29)	(\$185.78)	\$0.00
240015002A		01/01/2006	06/2005	06/2005	(\$753.18)	(\$589.02)	\$0.00
240015002A		01/01/2006	03/2004	06/2004	(\$475.07)	(\$347.10)	\$0.00
240015002A		01/01/2006	11/2004	12/2004	(\$475.07)	(\$347.10)	\$0.00
240015002A		01/01/2006	01/2005	05/2005	(\$753.18)	(\$589.02)	\$0.00
240015002A		01/01/2006	07/2004	10/2004	(\$475.07)	(\$347.10)	\$0.00
240015002A		01/01/2006	07/2005	12/2005	(\$753.18)	(\$589.02)	\$0.00
240015002A		01/01/2006	02/2004	02/2004	(\$481.44)	(\$350.57)	\$0.00
240031776A		01/01/2006	01/2003	04/2003	(\$405.12)	(\$279.64)	\$0.00
240567729A		01/01/2006	12/2005	12/2005	(\$247.60)	(\$215.51)	\$0.00
242387464A		01/01/2006	12/2005	12/2005	(\$667.92)	(\$556.07)	\$0.00
243186473A		01/01/2006	12/2005	12/2005	(\$331.57)	(\$244.19)	\$0.00
245667090A		01/01/2006	12/2005	12/2005	(\$331.96)	(\$282.09)	\$0.00

To see further details about adjustments, click on an adjustment reason Code link at the bottom of the Payments: MCO Payments (M402) screen. The Adjustment Detail (M408) screen will display, listing all adjustments by beneficiary to show how the adjustment amount was calculated.

MARx UI – Payments: Beneficiary (M403) Screen



The screenshot shows the MARx UI interface for the Payments: Beneficiary (M403) screen. The header bar is red with the CMS logo and the title "Medicare Advantage Prescription Drug (MARx)". Below the header, there are navigation links: "Welcome | Beneficiaries | Payments | Reports". The main content area has a sub-header "MCO | Beneficiary | Premiums/Rebates". The screen title is "Payments: Beneficiary (M403)". The user information is "User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006". There are "Print" and "Help..." buttons. The search criteria section includes a yellow box with the text "Enter search criteria and click 'Find.'". Below this, there are several fields with red asterisks indicating required fields: "Contract # (BIC is Optional)" with the value "WD163741", "Contract #(s)" and "PBP #" fields, "For Month/Year" with the value "01/2006", and "Last Name". There are "Find" and "Reset" buttons at the bottom of the search section.

From the MARx main menu, click on the **|Payments|** menu item. If not already selected, click on the **|Beneficiary|** submenu item to view the **Payments: Beneficiary (M403)** screen. The **Payments: Beneficiary (M403)** screen is used for entering search criteria. Enter search criteria to find the beneficiary or beneficiaries that you want to view and the payment month/year in which you are interested, and then click the **[Find]** button.

MARx UI – Payments: Beneficiary Search Results (M404)



The screenshot shows the MARx UI interface. At the top, there is a red header bar with the CMS logo and the text "Medicare Advantage Prescription Drug (MARx)". Below this is a blue navigation bar with links: "Welcome | Beneficiaries | Payments | Reports". Underneath, a darker blue bar shows "MCO | Beneficiary | Premiums/Rebates". The main content area has a title "Payments: Beneficiary Search Results (M404)" and user information: "User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006". There are "Print" and "Help..." buttons. A yellow highlight box contains the text: "Click on History link to view Beneficiary payment history." Below this, the search criteria are displayed: "Search Criteria: Claim # = WD163741 For Month/Year = 01/2006". A section titled "Beneficiaries 1-1(of 1)" contains a table with the following data:

Claim #	Name	Birth Date	Sex	State	County	Contract #	PBP#	Segment#	Payment
WD163741	MARTHA D. SAYERS	04/14/1907	F	NC	FORSYTH	H3449	005	000	History

The beneficiaries that meet the search criteria and have payments and/or adjustments calculated for that month will then be displayed on the Payments: Beneficiary Search Results (M404) screen.

MARx UI – Beneficiary Payment History (M406)

http://158.73.52.125 - Beneficiary Payment History (M406) - Microsoft Internet Explorer

Claim #: WD163741 **MARTHA D. SAYERS** **DOB: 04/14/1907**
 3109 BENTLEY CT Age: 99 Sex: FEMALE
 WINSTON SALEM, NC 27104-1800 State: NC (34) County: FORSYTH (330)

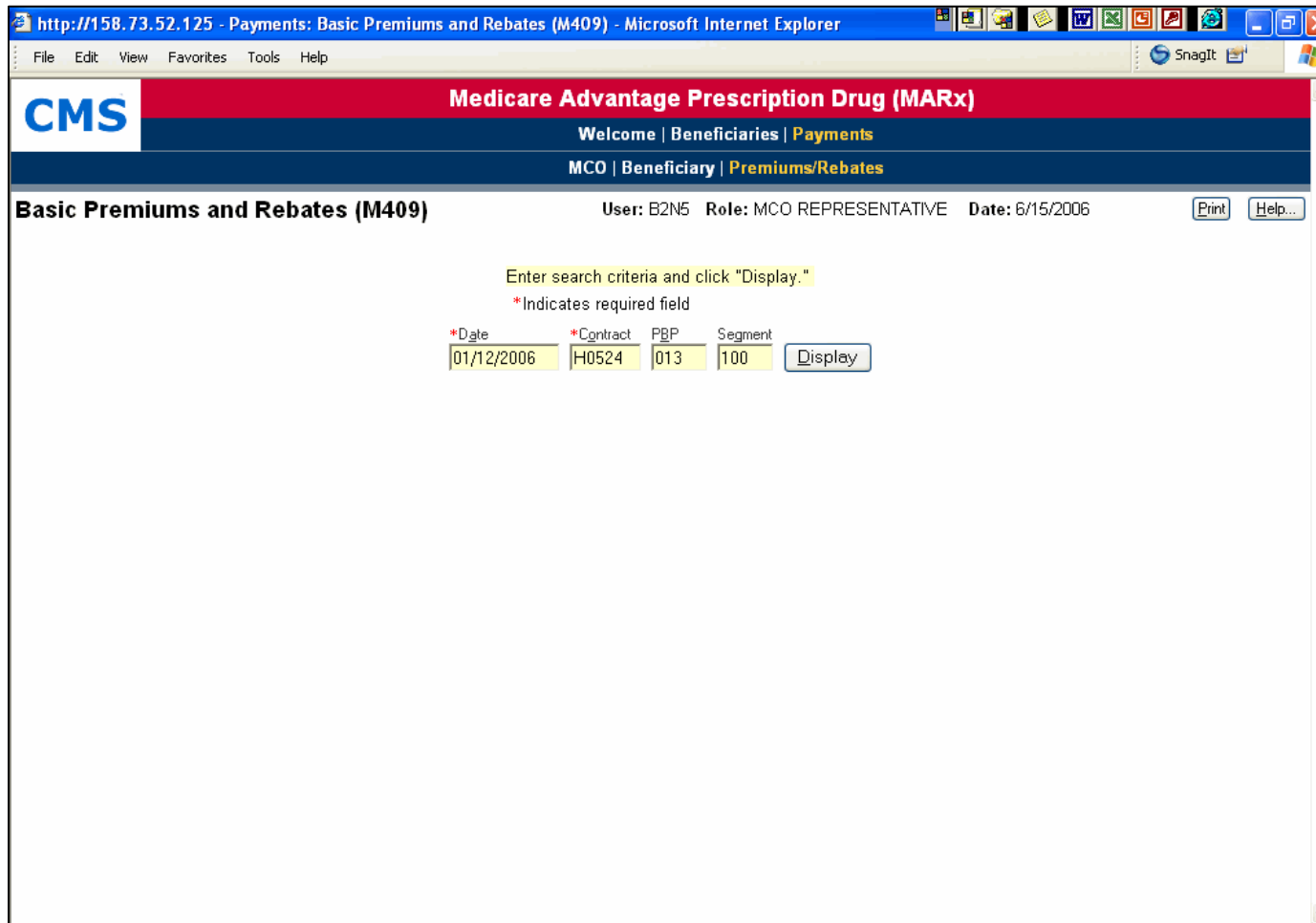
Beneficiary Payment History (M406) User: B2N5 Role: MCO REPRESENTATIVE TRANSMITTER Date: 6/14/2006 [Close] [Print] [Help...]

Payments 1-20 (of 118) Go to Page: 1 [Go] [Previous] [Next]

Payment Date	Contract	PBP#	Seg#	Payments				Adjustments				Total	BIPA	Regional MA BSF
				Part A	Part B	Part D	Total Pay	Part A	Part B	Part D	Total Adj			
01/2006	H3449	005	000	\$332.11	\$285.39	\$42.88	\$660.38	\$0.00	\$0.00	\$0.00	\$0.00	\$660.38	-	\$0.00
12/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
11/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
10/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
09/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
08/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
07/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
06/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
05/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
04/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
03/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
02/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
01/2005	H3449	005	000	\$410.12	\$305.96	\$0.00	\$716.08	\$0.00	\$0.00	\$0.00	\$0.00	\$716.08	-	\$0.00
12/2004	H3449	005	000	\$369.61	\$260.94	\$0.00	\$630.55	\$0.00	\$0.00	\$0.00	\$0.00	\$630.55	-	\$0.00
11/2004	H3449	005	000	\$369.61	\$260.94	\$0.00	\$630.55	\$0.00	\$0.00	\$0.00	\$0.00	\$630.55	-	\$0.00
10/2004	H3449	005	000	\$369.61	\$260.94	\$0.00	\$630.55	\$0.00	\$0.00	\$0.00	\$0.00	\$630.55	-	\$0.00
09/2004	H3449	005	000	\$369.61	\$260.94	\$0.00	\$630.55	\$0.00	\$0.00	\$0.00	\$0.00	\$630.55	-	\$0.00
08/2004	H3449	005	000	\$369.61	\$260.94	\$0.00	\$630.55	(\$218.10)	(\$179.39)	\$0.00	(\$397.49)	\$233.06	-	\$0.00
07/2004	H3449	005	000	\$369.61	\$260.94	\$0.00	\$630.55	\$0.00	\$0.00	\$0.00	\$0.00	\$630.55	-	\$0.00
06/2004	H3449	005	000	\$405.96	\$290.84	\$0.00	\$696.80	\$0.00	\$0.00	\$0.00	\$0.00	\$696.80	-	\$0.00

The Beneficiary Payment History (M406) screen lists the payments and adjustments for the beneficiary, starting with the month selected on the Payments: Beneficiary (M403) screen and going back in time.

MARx UI – Payments: Basic Premiums and Rebates (M409)



http://158.73.52.125 - Payments: Basic Premiums and Rebates (M409) - Microsoft Internet Explorer

File Edit View Favorites Tools Help

CMS Medicare Advantage Prescription Drug (MARx)

Welcome | Beneficiaries | **Payments**

MCO | Beneficiary | **Premiums/Rebates**

Basic Premiums and Rebates (M409) User: B2N5 Role: MCO REPRESENTATIVE Date: 6/15/2006 Print Help...

Enter search criteria and click "Display."


*Indicates required field

*Date	*Contract	PBP	Segment
01/12/2006	H0524	013	100

Display

From the MARx main menu, click on the **|Payments|** menu item. If not already selected, click on the **|Premiums/Rebates|** submenu item to view the **Basic Premiums and Rebates (M409)** screen.

MARx UI – Payments: Basic Premiums and Rebates (M409)



Medicare Advantage Prescription Drug (MARx)
Welcome | Beneficiaries | **Payments** | Reports
MCO | Beneficiary | **Basic Premiums**

Payments: Basic Premiums and Rebates (M409)
User: XXXX Role: MCO REPRESENTATIVE Date: 01/12/2006

Print
Help...

Enter search criteria and click "Display."
*Indicates required field

*Date	*ContractPBP	Segment	
01/12/2006	H0524	013	100

Display

Contract #: H0524
PBP: 013
Segment: 100
Basic Part C Premium: \$25.05
Basic Part D Premium: \$32.50
Rebate for Part B Premium Reduction: \$11.00
Rebate for A/B Cost Sharing: \$1.00
Rebate for A/B Mandatory Supplemental Benefits: \$2.00
Rebate for Part D Basic Premium Reduction: \$3.00
Rebate for Part D Supplemental Benefits: \$3.50

After the criteria are entered, click on the [Display] button to show the premiums and rebates. These premiums and rebates are displayed on the same screen, below the criteria.

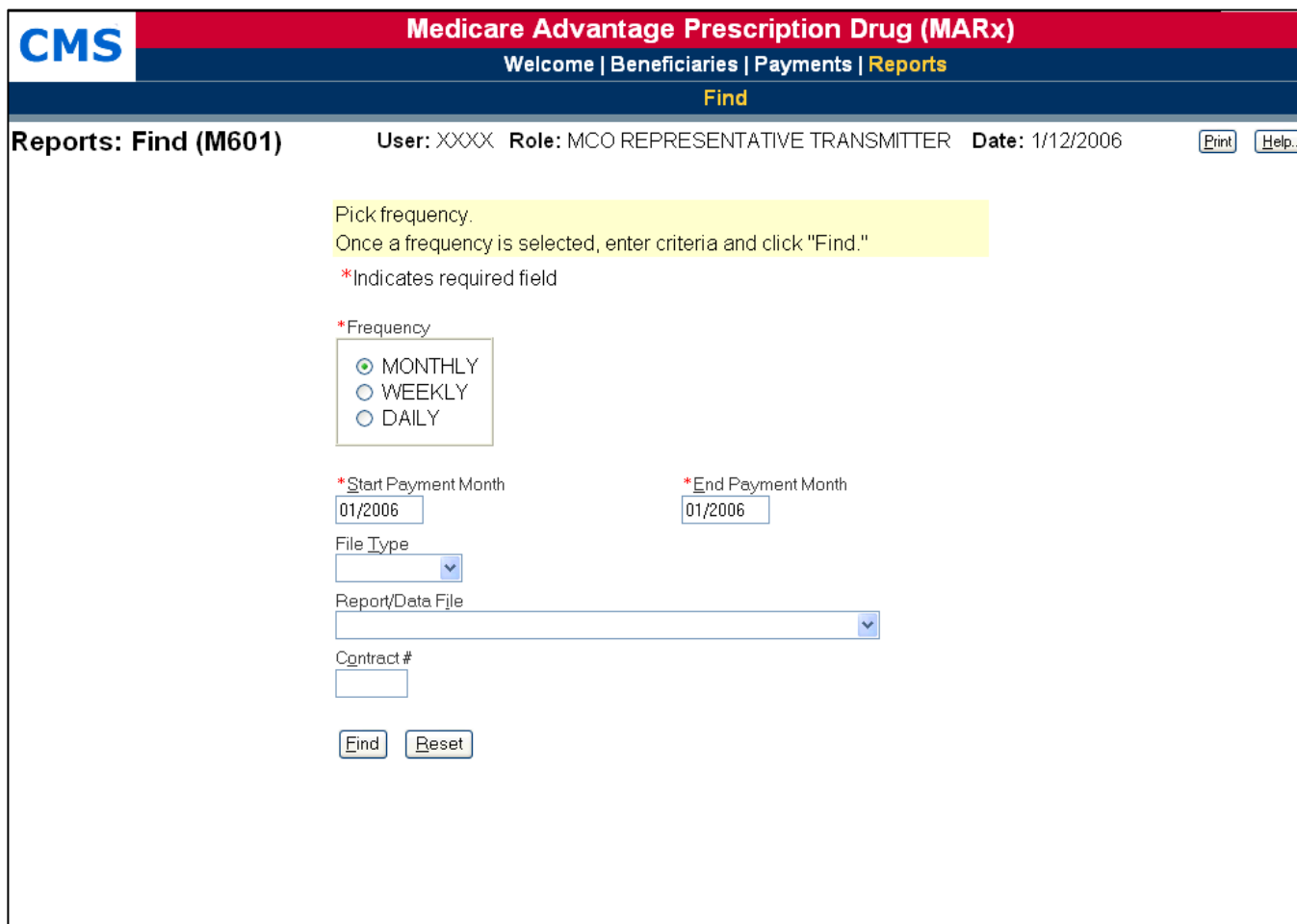
MARx UI – Requesting Historical Reports

- The ordered reports will be delivered via Connect:Direct or Gentran
- Various types of reports in MARx:
 - Month-end reports and data files are scheduled and automatically generated as part of monthly payment processing
 - Bi-weekly reports scheduled to report a beneficiary's Low-Income status and Premium information
 - Weekly reports and data files are scheduled and automatically generated to reflect transactions that were processed that week for a contract
 - Daily (or randomly-occurring) reports and data files are generated each day for events that occurred that day. These events include processing of a batch transaction file or receipt of a report from MBD
- Only MCO Representative Transmitters (Submitters) may order reports from MARx

MARx UI – Requesting Historical Reports

- From the MARx main menu, click on the |Reports| menu item
- From the *Reports: Find (M601)* screen, choose the report frequency first—monthly, weekly, or daily—as the selection criteria displayed is affected by the frequency chosen
 - Weekly reports are accessed the same way as monthly reports.
- Enter the selection criteria that characterize the reports being requested.
- Click on the [Find] button to bring up the *Reports: Search Results (M602)* screen showing all of the reports that meet the criteria.

MARx UI – Reports: Find (M601) Screen



The screenshot shows the 'Reports: Find (M601)' screen in the MARx UI. The header bar is red with the CMS logo and the text 'Medicare Advantage Prescription Drug (MARx)'. Below the header, a navigation bar contains 'Welcome | Beneficiaries | Payments | Reports'. The main content area has a title bar 'Find' and a sub-header 'Reports: Find (M601)'. The user information is 'User: XXXX Role: MCO REPRESENTATIVE TRANSMITTER Date: 1/12/2006'. There are 'Print' and 'Help...' buttons. The main form area contains instructions: 'Pick frequency. Once a frequency is selected, enter criteria and click "Find."'. A note states '*Indicates required field'. The form includes a '*Frequency' section with radio buttons for 'MONTHLY' (selected), 'WEEKLY', and 'DAILY'. There are two date fields: '*Start Payment Month' with '01/2006' and '*End Payment Month' with '01/2006'. There is a 'File Type' dropdown menu, a 'Report/Data File' dropdown menu, and a 'Contract #' text field. At the bottom are 'Find' and 'Reset' buttons.

CMS Medicare Advantage Prescription Drug (MARx)
Welcome | Beneficiaries | Payments | Reports
Find

Reports: Find (M601) User: XXXX Role: MCO REPRESENTATIVE TRANSMITTER Date: 1/12/2006 Print Help...

Pick frequency.
Once a frequency is selected, enter criteria and click "Find."
*Indicates required field

*Frequency
☒ MONTHLY
☐ WEEKLY
☐ DAILY


*Start Payment Month 01/2006 *End Payment Month 01/2006

File Type
Report/Data File
Contract #

Find Reset

The Reports: Find (M601) screen with selection criteria for monthly reports is shown.

MARx UI – Reports: Search Results (M602) Screen



Medicare Advantage Prescription Drug (MARx)
Welcome | Beneficiaries | Payments | **Reports**
Find

Reports: Search Results (M602) User: XXXX Role: MCO REPRESENTATIVE TRANSMITTER Date: 1/12/2006 [Print](#) [Help...](#)

Select a file before clicking on a button.

Available Files 1-8(of 8) from 09/15/2005 to 10/15/2005

Select	File Name	Requested	Contract	Report/Data File	File Type
<input type="radio"/>	XXXX.@BGD5050.YM200510.D03.HM0933.BATCHSTD	10/03/2005		BATCH COMPLETION STATUS SUMMARY DATA FILE	DATA FILE
<input type="radio"/>	XXXX.@BGD5050.YM200510.D03.HM1129.BATCHSTD	10/03/2005		BATCH COMPLETION STATUS SUMMARY DATA FILE	DATA FILE
<input type="radio"/>	P#MMA.@BGD5050.PLNH1111.YM200509.D30.T1604.C	09/30/2005	H1111	COORDINATION OF BENEFITS DATA FILE	DATA FILE
<input type="radio"/>	P#MMA.@BGD5050.PLNH1111.YM200509.D30.T1604.C	09/30/2005	H1111	COORDINATION OF BENEFITS DATA FILE	DATA FILE
<input type="radio"/>	P#MMA.@BGD5050.PLNH2222.YM200509.D30.T1604.C	09/30/2005	H2222	COORDINATION OF BENEFITS DATA FILE	DATA FILE
<input type="radio"/>	P#MMA.@BGD5050.PLNH2222.YM200509.D30.T1604.C	09/30/2005	H2222	COORDINATION OF BENEFITS DATA FILE	DATA FILE
<input type="radio"/>	P#MMA.@BGD5050.PLNS3333.YM200509.D30.T1604.C	09/30/2005	S3333	COORDINATION OF BENEFITS DATA FILE	DATA FILE
<input type="radio"/>	P#MMA.@BGD5050.PLNS3333.YM200509.D30.T1604.C	09/30/2005	S3333	COORDINATION OF BENEFITS DATA FILE	DATA FILE

[Order](#)

The results list of reports is shown on the Reports: Search Results (M602) Screen. From this list, you can select a report or data file, and then click the [Order] button. You will receive a message letting you know that the order has been submitted.

Enrollment System Overview for New Plan Users

September 2006

